

October 1999

(in thousands)

State Taxes	October 1999			Fiscal Year 1999-00		
	Gross	Refunds	Net	Gross	Refunds	Net
Personal Income Tax-net (Adj)	\$1,395,780	\$62,011	\$1,333,769	\$16,681,416	\$2,112,987	\$14,568,429
Total Gross Collections	1,395,780	---	1,395,780	14,375,505	---	14,375,505
Less: Refunds and Minor Offsets	---	52,820	52,820	---	1,961,072	1,961,072
Less: State/City Offsets	---	9,191	9,191	---	151,915	151,915
Net Collections, before Refund Reserve	---	---	1,333,769	---	---	12,262,518
Refund Reserve Transactions	---	---	0	2,305,911	0	2,305,911
User Taxes 1/	\$761,901	\$12,492	\$749,409	\$5,615,570	\$50,707	\$5,564,863
Sales & Use 2/	640,731	10,509	630,222	4,687,568	37,427	4,650,141
Motor Fuel	41,425	1,457	39,968	318,789	8,770	310,019
Cigarette/Tobacco Products 3/	53,400	412	52,988	398,550	3,268	395,282
Alcoholic Beverage	14,196	1	14,195	101,925	44	101,881
Highway/Fuel Use	11,903	113	11,790	87,456	1,197	86,259
Containers	(1)	0	(1)	7	1	6
Auto Rental	247	0	247	21,273	0	21,273
Business Taxes	\$188,523	\$20,707	\$167,816	\$3,148,853	\$311,044	\$2,837,809
Corporation Franchise	72,902	17,192	55,710	1,159,762	258,273	901,489
Corporation & Utilities	4,070	338	3,732	720,972	18,991	701,981
Banks	16,680	704	15,976	339,946	7,311	332,635
Insurance (Article 33)	6,896	990	5,906	306,146	18,754	287,392
Direct Writings	112	0	112	1,747	11	1,736
Petroleum 4/	87,863	1,483	86,380	620,280	7,704	612,576
Other Revenues	\$113,894	\$4,840	\$109,054	\$833,657	\$40,292	\$793,365
Real Property Gains	952	1,250	(298)	17,101	3,599	13,502
Estate & Gift	71,555	3,582	67,973	593,300	35,729	557,571
Real Estate Transfer	38,349	8	38,341	198,344	964	197,380
Pari-Mutuel (w/o Uncashed Tickets)	2,271	0	2,271	23,732	0	23,732
Other (Racing Admissions & Boxing)	767	0	767	1,180	0	1,180
Total - State Taxes 1/	\$2,460,098	\$100,050	\$2,360,048	\$26,279,496	\$2,515,030	\$23,764,466

Local Taxes	October 1999			Fiscal Year 1999-00		
	Gross	Refunds	Net	Gross	Refunds	Net
M.T.A. Corporate Surcharge	\$11,633	\$2,123	\$9,510	\$285,107	\$35,909	\$249,198
New York City Alcoholic Beverage	1,809	0	1,809	12,773	11	12,762
New York City Motor Fuel	0	0	0	0	0	0
New York City Personal Income - Net (Adjusted)	317,505	10,927	306,578	3,458,025	427,939	3,030,086
Total Gross Collections	308,374	---	308,374	3,307,884	---	3,307,884
Less: Refunds and Minor Offsets	---	10,927	10,927	---	427,939	427,939
Plus: State/City Offsets	9,131	---	9,131	150,141	---	150,141
Sales & Use (Includes M.A.C.)	656,514	10,851	645,663	4,770,100	38,599	4,731,501
Stock Transfer (to NYC; all eligible for rebate)	68	0	68	3,761,051	0	3,761,051
Yonkers Personal Income - Net (Adjusted)	1,971	52	1,919	17,194	2,475	14,719
Total Gross Collections	1,911	---	1,911	15,420	---	15,420
Less: Refunds and Minor Offsets	---	52	52	---	2,475	2,475
Plus: State/City Offsets	60	---	60	1,774	---	1,774
Total-Local Taxes	\$989,500	\$23,953	\$965,547	\$12,304,250	\$504,933	\$11,799,317
Total State & Local Taxes 1/	\$3,449,598	\$124,003	\$3,325,595	\$38,583,746	\$3,019,963	\$35,563,783

0

1/ Includes Hotel/Motel Occupancy (repealed 9/1/94):

October 1999	Fiscal Year 1999-00
\$0	\$2

2/ Includes tax on informational and entertainment services (900 numbers):

October 1999	Fiscal Year 1999-00
\$9	\$770

3/ Includes Cigarette License and Sticker Fees.

4/ Includes Article 13-A Petroleum Business Tax, Lubricating Oils Tax (repealed 9/1/94), former Article 13-A Gross Receipts Tax, and former Article 9, Section 182-A.

October 1999

(in thousands)

State Taxes	Net Collections		Difference	
	October 1998	October 1999	Amount	Percent
Personal Income Tax 1/	\$1,254,254	\$1,333,769	\$79,515	6.3
User Taxes 2/	\$645,892	\$749,409	\$103,517	16.0
Sales and Use 3/	523,073	630,222	107,149	20.5
Motor Fuel	37,928	39,968	2,040	5.4
Cigarette/Tobacco Products 4/	57,296	52,988	(4,308)	(7.5)
Alcoholic Beverage	13,137	14,195	1,058	8.1
Highway/Fuel Use 1/	14,349	11,790	(2,559)	(17.8)
Containers (repealed 10/1/98)	89	(1)	(90)	(101.1)
Auto Rental	20	247	227	1,135.0
Business Taxes	\$134,188	\$167,816	\$33,628	25.1
Corporation Franchise	48,223	55,710	7,487	15.5
Corporation & Utilities 1/	4,771	3,732	(1,039)	(21.8)
Banks	4,665	15,976	11,311	242.5
Insurance (Article 33)	(485)	5,906	6,391	1,317.7
Direct Writings	86	112	26	30.2
Petroleum 1/, 5/	76,928	86,380	9,452	12.3
Other Revenues	\$122,328	\$109,054	(\$13,274)	(10.9)
Real Property Gains (repealed 6/15/96)	1,862	(298)	(2,160)	(116.0)
Estate & Gift 1/	92,992	67,973	(25,019)	(26.9)
Real Estate Transfer	24,313	38,341	14,028	57.7
Pari-Mutuel	2,988	2,271	(717)	(24.0)
Other (Racing Adms & Boxing)	173	767	594	343.4
Total - State Taxes 2/	\$2,156,662	\$2,360,048	\$203,386	9.4

1/ See page 2 for component detail.

Personal Income Tax	Net Collections		Difference	
	October 1998	October 1999	Amount	Percent
Withholding	\$1,160,931	\$1,234,362	\$73,431	6.3
Estimated Tax	36,727	39,860	3,133	8.5
Final Payments	76,657	85,656	8,999	11.7
Delinquencies	33,354	35,647	2,293	6.9
Limited Liability Company Fees	199	255	56	28.1
Total Gross Collections	\$1,307,868	\$1,395,780	\$87,912	6.7
Refunds/Minor Offsets	53,563	52,820	(743)	(1.4)
State/City Offsets	51	9,191	9,140	17,921.6
Net, before Refund Reserve	\$1,254,254	\$1,333,769	\$79,515	6.3
Refund Reserve Transactions	0	0	0	NA
Net, after Refund Reserve	\$1,254,254	\$1,333,769	\$79,515	6.3
Other Component Detail				
Highway/Fuel Use	\$14,349	\$11,790	(\$2,559)	(17.8)
Highway Use	12,657	9,504	(3,153)	(24.9)
Truck Mileage	12,387	9,218	(3,169)	(25.6)
Permits	270	286	16	5.9
Fuel Use	1,692	2,286	594	35.1
Article 21	63	11	(52)	(82.5)
Article 21A IFTA	1,616	2,268	652	40.3
IFTA Decal Fees & Trip Permits	13	7	(6)	(46.2)
Corporation & Utilities 6/	\$4,771	\$3,732	(\$1,039)	(21.8)
Article 9 (excl sec. 180, 186-A, A&E & P)	228	(435)	(663)	(290.8)
Utilities (section 186-A, A&E & P)	4,543	4,167	(376)	(8.3)
Petroleum	\$76,928	\$86,380	\$9,452	12.3
Article 13-A (prior to 9/1/90)	0	3	3	NA
Article 13-A (after 9/1/90)	76,919	86,338	9,419	12.2
Article 9, Section 182-A	9	39	30	333.3
Lubricating Oils (repealed 9/1/94)	0	0	0	NA
Estate & Gift	\$92,992	\$67,973	(\$25,019)	(26.9)
Estate	89,065	65,310	(23,755)	(26.7)
Gift	3,927	2,663	(1,264)	(32.2)

Local Taxes	Net Collections		Difference	
	October 1998	October 1999	Amount	Percent
M.T.A. Corporate Surcharge	\$3,895	\$9,510	\$5,615	144.2
New York City Alcoholic Beverage	1,849	1,809	(40)	(2.2)
New York City Motor Fuel	0	0	0	NA
New York City Personal Income	318,875	306,578	(12,297)	(3.9)
Sales & Use (Includes M.A.C.)	539,322	645,663	106,341	19.7
Stock Transfer (to NYC; all rebatable)	42	68	26	61.9
Yonkers Personal Income	1,997	1,919	(78)	(3.9)
Total-Local Taxes	\$865,980	\$965,547	\$99,567	11.5
Total State & Local Taxes	\$3,022,642	\$3,325,595	\$302,953	10.0

2/ Includes Hotel/Motel Occupancy (repealed 9/1/94):

October 1998	October 1999
\$0	\$0

3/ Includes tax on informational and entertainment services (900) numbers:

October 1998	October 1999
\$19	\$9

4/ Includes Cigarette License and Sticker Fees.

5/ Includes Article 13-A Petroleum Business Tax, Lubricating Oils Tax (repealed 9/1/94), former Article 13-A Gross Receipts Tax, and former Article 9, Section 182-A.

6/ Reflects adjustments to prior periods posted during October 1999.

October 1999

(in thousands)

State Taxes	Net Collections	Estimated Receipts 7/	Net Collections			
			Year-to-Date		Difference	
			1998-1999	1999-2000	1998-1999	1999-2000
Personal Income Tax 1/	\$20,662,376	\$22,978,900	\$13,624,666	\$14,568,429	\$943,763	6.9
User Taxes 2/	\$9,221,533	\$9,464,100	\$5,331,930	\$5,564,863	\$232,978	4.4
Sales and Use 3/	7,646,929	7,969,600	4,373,529	4,650,141	276,612	6.3
Motor Fuel	502,320	508,800	294,734	310,019	15,285	5.2
Cigarette/Tobacco Products 4/	666,700	630,000	420,168	395,282	(24,886)	(5.9)
Alcoholic Beverage	182,778	173,000	107,156	101,881	(5,275)	(4.9)
Highway/Fuel Use 1/	168,667	145,300	101,311	86,259	(15,052)	(14.9)
Containers (repealed 10/1/98)	18,958	200	16,366	6	(16,360)	(100.0)
Auto Rental	35,109	37,200	18,619	21,273	2,654	14.3
Business Taxes	\$5,820,785	\$5,587,800	\$2,913,815	\$2,837,809	(\$76,006)	(2.6)
Corporation Franchise	2,049,843	1,938,700	986,567	901,489	(85,078)	(8.6)
Corporation & Utilities 1/	1,545,944	1,385,000	706,964	701,981	(4,983)	(0.7)
Banks	544,058	640,000	290,314	332,635	42,321	14.6
Insurance (Article 33)	635,636	636,000	323,453	287,392	(36,061)	(11.1)
Direct Writings	11,129	---	1,870	1,736	(134)	(7.2)
Petroleum 1/, 5/	1,034,175	988,100	604,647	612,576	7,929	1.3
Other Revenues	\$1,450,389	\$1,297,400	\$872,961	\$793,365	(\$79,596)	(9.1)
Real Property Gains (repealed 6/15/96)	28,940	(14,000)	23,849	13,502	(10,347)	(43.4)
Estate & Gift 1/	1,071,464	980,000	626,936	557,571	(69,365)	(11.1)
Real Estate Transfer	312,369	296,700	198,613	197,380	(1,233)	(0.6)
Pari-Mutuel	36,922	34,000	23,096	23,732	636	2.8
Other (Racing Adms & Boxing)	694	700	467	1,180	713	152.7
Total - State Taxes 2/	\$37,155,083	\$39,328,200	\$22,743,372	\$23,764,466	\$1,021,139	4.5

1/ See page 2 for component detail.

(in thousands)

Personal Income Tax	Net Collections	Estimated Receipts 7/ 1999-2000	Net Collections			
			Year-to-Date		Difference	
			1998-1999	1999-2000	Amount	Percent
Withholding	\$16,520,651	\$17,717,200	\$8,545,321	\$9,145,055	\$599,734	7.0
Estimated Tax	5,182,394	5,694,700	3,248,413	3,654,548	406,135	12.5
Final Payments	1,189,558	1,409,400	1,093,596	1,263,229	169,633	15.5
Delinquencies	466,165	503,800	281,229	300,528	19,299	6.9
Limited Liability Company Fees	12,305	- - -	8,023	12,145	4,122	51.4
Total Gross Collections	\$23,371,073	\$25,325,100	\$13,176,582	\$14,375,505	\$1,198,923	9.1
Refunds/Minor Offsets	2,495,394	2,612,300	1,797,184	1,961,072	163,888	9.1
State/City Offsets	299,611	279,800	146,951	151,915	4,964	3.4
Net, before Refund Reserve	\$20,576,068	\$22,433,000	\$11,232,447	\$12,262,518	\$1,030,071	9.2
Refund Reserve Transactions	86,308	545,900	2,392,219	2,305,911	(86,308)	(3.6)
Net, after Refund Reserve	\$20,662,376	\$22,978,900	\$13,624,666	\$14,568,429	\$943,763	6.9
Other Component Detail						
Highway/Fuel Use	\$168,667	\$145,300	\$101,311	\$86,259	(\$15,052)	(14.9)
Highway Use	144,202	NA	86,138	72,117	(14,021)	(16.3)
Truck Mileage	140,371	NA	83,710	69,699	(14,011)	(16.7)
Permits	3,831	NA	2,428	2,418	(10)	(0.4)
Fuel Use	24,465	NA	15,173	14,142	(1,031)	(6.8)
Article 21	789	NA	732	728	(4)	(0.5)
Article 21A IFTA	23,195	NA	14,358	13,346	(1,012)	(7.0)
IFTA Decal Fees & Trip Permits	481	NA	83	68	(15)	(18.1)
Corporation & Utilities 6/	\$1,545,944	\$1,385,000	\$706,964	\$701,981	(\$4,983)	(0.7)
Article 9 (excl sec. 180, 186-A, A&E & P)	363,104	NA	144,473	155,458	10,985	7.6
Utilities (section 186-A, A&E & P)	1,182,840	NA	562,491	546,523	(15,968)	(2.8)
Petroleum	\$1,034,175	\$988,100	\$604,647	\$612,576	\$7,929	1.3
Article 13-A (prior to 9/1/90)	(37)	NA	(11)	46	57	518.2
Article 13-A (after 9/1/90)	1,032,987	NA	604,577	612,466	7,889	1.3
Article 9, Section 182-A	1,218	NA	79	59	(20)	(25.3)
Lubricating Oils (repealed 9/1/94)	7	NA	2	5	3	150.0
Estate & Gift	\$1,071,464	\$980,000	\$626,936	\$557,571	(\$69,365)	(11.1)
Estate	946,445	NA	599,284	526,464	(72,820)	(12.2)
Gift	125,019	NA	27,652	31,107	3,455	12.5

Local Taxes	Net	Estimated	Net Collections			
	Collections	Receipts 7/	Year-to-Date		Difference	
	1998-1999	1999-2000	1998-1999	1999-2000	Amount	Percent
M.T.A. Corporate Surcharge	\$547,005	---	\$272,565	\$249,198	(\$23,367)	(8.6)
New York City Alcoholic Beverage	19,347	---	11,303	12,762	1,459	12.9
New York City Motor Fuel	0	---	0	0	0	NA
New York City Personal Income	5,488,300	---	3,066,024	3,030,086	(35,938)	(1.2)
Sales & Use (Includes M.A.C.)	7,800,424	---	4,456,097	4,731,501	275,404	6.2
Stock Transfer (to NYC; all rebatable)	6,782,443	---	3,279,640	3,761,051	481,411	14.7
Yonkers Personal Income	23,882	---	15,651	14,719	(932)	(6.0)
Total-Local Taxes	\$20,661,401	---	\$11,101,280	\$11,799,317	\$698,037	6.3
Total State & Local Taxes	\$57,816,484	---	\$33,844,652	\$35,563,783	\$1,719,176	5.1

2/ Includes Hotel/Motel Occupancy (repealed 9/1/94):

1998-1999	1999-2000
\$47	\$2

3/ Includes tax on informational and entertainment services (900) numbers:

1998-1999	1999-2000
\$465	\$770

4/ Includes Cigarette License and Sticker Fees.

5/ Includes Article 13-A Petroleum Business Tax, Lubricating Oils Tax (repealed 9/1/94), former Article 13-A Gross Receipts Tax, and former Article 9, Section 182-A.

6/ Reflects adjustments to prior periods posted during October 1999.

7/ From the Budget Forecast, August 1999. Estimates adjusted to a collection basis. Article 13 estimate included in Corporation Franchise Tax; Cigarette License Fees and Stickers estimates included in Cigarette/Tobacco Products; Direct Writings estimate included in Insurance (Article 33); Hotel/Motel Occupancy included in User Taxes.