

November 1999

(in thousands)

State Taxes	November 1999			Fiscal Year 1999-00		
	Gross	Refunds	Net	Gross	Refunds	Net
Personal Income Tax-net (Adj)	\$1,521,568	\$211,196	\$1,310,372	\$18,202,984	\$2,324,183	\$15,878,801
Total Gross Collections	1,521,568	---	1,521,568	15,897,073	---	15,897,073
Less: Refunds and Minor Offsets	---	144,569	144,569	---	2,105,641	2,105,641
Less: State/City Offsets	---	66,627	66,627	---	218,542	218,542
Net Collections, before Refund Reserve	---	---	1,310,372	---	---	13,572,890
Refund Reserve Transactions	---	---	0	2,305,911	0	2,305,911
User Taxes 1/	\$726,791	\$4,955	\$721,836	\$6,342,361	\$55,662	\$6,286,699
Sales & Use 2/	600,029	3,215	596,814	5,287,597	40,642	5,246,955
Motor Fuel	42,837	865	41,972	361,626	9,635	351,991
Cigarette/Tobacco Products 3/	52,491	762	51,729	451,041	4,030	447,011
Alcoholic Beverage	16,617	(1)	16,618	118,542	43	118,499
Highway/Fuel Use	14,746	114	14,632	102,202	1,311	100,891
Containers	0	0	0	7	1	6
Auto Rental	69	0	69	21,342	0	21,342
Business Taxes	\$163,740	\$67,378	\$96,362	\$3,312,593	\$378,422	\$2,934,171
Corporation Franchise	55,545	40,729	14,816	1,215,307	299,002	916,305
Corporation & Utilities	19,041	802	18,239	740,013	19,793	720,220
Banks	2,553	19,089	(16,536)	342,499	26,400	316,099
Insurance (Article 33)	290	5,681	(5,391)	306,436	24,435	282,001
Direct Writings	492	2	490	2,239	13	2,226
Petroleum 4/	85,819	1,075	84,744	706,099	8,779	697,320
Other Revenues	\$133,354	\$7,838	\$125,516	\$967,011	\$48,130	\$918,881
Real Property Gains	902	195	707	18,003	3,794	14,209
Estate & Gift	97,113	7,641	89,472	690,413	43,370	647,043
Real Estate Transfer	32,335	2	32,333	230,679	966	229,713
Pari-Mutuel (w/o Uncashed Tickets)	2,981	0	2,981	26,713	0	26,713
Other (Racing Admissions & Boxing)	23	0	23	1,203	0	1,203
Total - State Taxes 1/	\$2,545,453	\$291,367	\$2,254,086	\$28,824,949	\$2,806,397	\$26,018,552

Local Taxes	November 1999			Fiscal Year 1999-00		
	Gross	Refunds	Net	Gross	Refunds	Net
M.T.A. Corporate Surcharge	\$8,429	\$5,559	\$2,870	\$293,536	\$41,468	\$252,068
New York City Alcoholic Beverage	1,836	0	1,836	14,609	11	14,598
New York City Motor Fuel	0	0	0	0	0	0
New York City Personal Income - Net (Adjusted)	378,786	30,944	347,842	3,836,811	458,883	3,377,928
Total Gross Collections	312,176	---	312,176	3,620,060	---	3,620,060
Less: Refunds and Minor Offsets	---	30,944	30,944	---	458,883	458,883
Plus: State/City Offsets	66,610	---	66,610	216,751	---	216,751
Sales & Use (Includes M.A.C.)	612,389	3,320	609,069	5,382,489	41,919	5,340,570
Stock Transfer (to NYC; all eligible for rebate)	45	0	45	3,761,096	0	3,761,096
Yonkers Personal Income - Net (Adjusted)	1,365	87	1,278	18,559	2,562	15,997
Total Gross Collections	1,348	---	1,348	16,768	---	16,768
Less: Refunds and Minor Offsets	---	87	87	---	2,562	2,562
Plus: State/City Offsets	17	---	17	1,791	---	1,791
Total-Local Taxes	\$1,002,850	\$39,910	\$962,940	\$13,307,100	\$544,843	\$12,762,257
Total State & Local Taxes 1/	\$3,548,303	\$331,277	\$3,217,026	\$42,132,049	\$3,351,240	\$38,780,809

0

1/ Includes Hotel/Motel Occupancy (repealed 9/1/94):

November 1999	Fiscal Year 1999-00
\$2	\$4

2/ Includes tax on informational and entertainment services (900 numbers):

November 1999	Fiscal Year 1999-00
\$5	\$775

3/ Includes Cigarette License and Sticker Fees.

4/ Includes Article 13-A Petroleum Business Tax, Lubricating Oils Tax (repealed 9/1/94), former Article 13-A Gross Receipts Tax, and former Article 9, Section 182-A.

November 1999

(in thousands)

State Taxes	Net Collections		Difference	
	November 1998	November 1999	Amount	Percent
Personal Income Tax 1/	\$1,214,981	\$1,310,372	\$95,391	7.9
User Taxes 2/	\$668,326	\$721,836	\$53,511	8.0
Sales and Use 3/	541,104	596,814	55,710	10.3
Motor Fuel	40,704	41,972	1,268	3.1
Cigarette/Tobacco Products 4/	52,038	51,729	(309)	(0.6)
Alcoholic Beverage	17,303	16,618	(685)	(4.0)
Highway/Fuel Use 1/	17,122	14,632	(2,490)	(14.5)
Containers (repealed 10/1/98)	1	0	(1)	(100.0)
Auto Rental	51	69	18	35.3
Business Taxes	\$131,953	\$96,362	(\$35,591)	(27.0)
Corporation Franchise	29,396	14,816	(14,580)	(49.6)
Corporation & Utilities 1/	566	18,239	17,673	3,122.4
Banks	24,634	(16,536)	(41,170)	(167.1)
Insurance (Article 33)	(5,306)	(5,391)	(85)	(1.6)
Direct Writings	386	490	104	26.9
Petroleum 1/, 5/	82,277	84,744	2,467	3.0
Other Revenues	\$93,320	\$125,516	\$32,196	34.5
Real Property Gains (repealed 6/15/96)	643	707	64	10.0
Estate & Gift 1/	66,166	89,472	23,306	35.2
Real Estate Transfer	23,368	32,333	8,965	38.4
Pari-Mutuel	3,089	2,981	(108)	(3.5)
Other (Racing Adms & Boxing)	54	23	(31)	(57.4)
Total - State Taxes 2/	\$2,108,580	\$2,254,086	\$145,507	6.9

1/ See page 2 for component detail.

Personal Income Tax	Net Collections		Difference	
	November 1998	November 1999	Amount	Percent
Withholding	\$1,287,016	\$1,446,385	\$159,369	12.4
Estimated Tax	21,967	24,342	2,375	10.8
Final Payments	4,886	7,268	2,382	48.8
Delinquencies	46,414	43,516	(2,898)	(6.2)
Limited Liability Company Fees	46	57	11	23.9
Total Gross Collections	\$1,360,329	\$1,521,568	\$161,239	11.9
Refunds/Minor Offsets	118,390	144,569	26,179	22.1
State/City Offsets	26,958	66,627	39,669	147.2
Net, before Refund Reserve	\$1,214,981	\$1,310,372	\$95,391	7.9
Refund Reserve Transactions	0	0	0	NA
Net, after Refund Reserve	\$1,214,981	\$1,310,372	\$95,391	7.9
Other Component Detail				
Highway/Fuel Use	\$17,122	\$14,632	(\$2,490)	(14.5)
Highway Use	15,990	13,906	(2,084)	(13.0)
Truck Mileage	15,903	13,026	(2,877)	(18.1)
Permits	87	880	793	911.5
Fuel Use	1,132	726	(406)	(35.9)
Article 21	67	36	(31)	(46.3)
Article 21A IFTA	900	578	(322)	(35.8)
IFTA Decal Fees & Trip Permits	165	112	(53)	(32.1)
Corporation & Utilities 6/	\$566	\$18,239	\$17,673	3,122.4
Article 9 (excl sec. 180, 186-A, A&E & P)	(367)	(2,093)	(1,726)	(470.3)
Utilities (section 186-A, A&E & P)	933	20,332	19,399	2,079.2
Petroleum	\$82,277	\$84,744	\$2,467	3.0
Article 13-A (prior to 9/1/90)	0	4	4	NA
Article 13-A (after 9/1/90)	82,264	84,736	2,472	3.0
Article 9, Section 182-A	11	0	(11)	(100.0)
Lubricating Oils (repealed 9/1/94)	2	4	2	100.0
Estate & Gift	\$66,166	\$89,472	\$23,306	35.2
Estate	66,767	85,342	18,575	27.8
Gift	(601)	4,130	4,731	787.2

Local Taxes	Net Collections		Difference	
	November 1998	November 1999	Amount	Percent
M.T.A. Corporate Surcharge	\$5,974	\$2,870	(\$3,104)	(52.0)
New York City Alcoholic Beverage	1,787	1,836	49	2.7
New York City Motor Fuel	0	0	0	NA
New York City Personal Income	317,352	347,842	30,490	9.6
Sales & Use (Includes M.A.C.)	556,625	609,069	52,444	9.4
Stock Transfer (to NYC; all rebatable)	31	45	14	45.2
Yonkers Personal Income	1,698	1,278	(420)	(24.7)
Total-Local Taxes	\$883,467	\$962,940	\$79,473	9.0
Total State & Local Taxes	\$2,992,047	\$3,217,026	\$224,980	7.5

2/ Includes Hotel/Motel Occupancy (repealed 9/1/94):

November 1998	November 1999
\$3	\$2

3/ Includes tax on informational and entertainment services (900) numbers:

November 1998	November 1999
\$2	\$5

4/ Includes Cigarette License and Sticker Fees.

5/ Includes Article 13-A Petroleum Business Tax, Lubricating Oils Tax (repealed 9/1/94), former Article 13-A Gross Receipts Tax, and former Article 9, Section 182-A.

6/ Reflects adjustments to prior periods posted during November 1999.

November 1999

(in thousands)

State Taxes	Net Collections	Estimated Receipts 7/	Net Collections			
			Year-to-Date		Difference	
			1998-1999	1999-2000	1998-1999	1999-2000
Personal Income Tax 1/	\$20,662,376	\$22,978,900	\$14,839,647	\$15,878,801	\$1,039,154	7.0
User Taxes 2/	\$9,221,533	\$9,464,100	\$6,000,256	\$6,286,699	\$286,489	4.8
Sales and Use 3/	7,646,929	7,969,600	4,914,633	5,246,955	332,322	6.8
Motor Fuel	502,320	508,800	335,438	351,991	16,553	4.9
Cigarette/Tobacco Products 4/	666,700	630,000	472,206	447,011	(25,195)	(5.3)
Alcoholic Beverage	182,778	173,000	124,459	118,499	(5,960)	(4.8)
Highway/Fuel Use 1/	168,667	145,300	118,433	100,891	(17,542)	(14.8)
Containers (repealed 10/1/98)	18,958	200	16,367	6	(16,361)	(100.0)
Auto Rental	35,109	37,200	18,670	21,342	2,672	14.3
Business Taxes	\$5,820,785	\$5,587,800	\$3,045,768	\$2,934,171	(\$111,597)	(3.7)
Corporation Franchise	2,049,843	1,938,700	1,015,963	916,305	(99,658)	(9.8)
Corporation & Utilities 1/	1,545,944	1,385,000	707,530	720,220	12,690	1.8
Banks	544,058	640,000	314,948	316,099	1,151	0.4
Insurance (Article 33)	635,636	636,000	318,147	282,001	(36,146)	(11.4)
Direct Writings	11,129	---	2,256	2,226	(30)	(1.3)
Petroleum 1/, 5/	1,034,175	988,100	686,924	697,320	10,396	1.5
Other Revenues	\$1,450,389	\$1,297,400	\$966,281	\$918,881	(\$47,400)	(4.9)
Real Property Gains (repealed 6/15/96)	28,940	(14,000)	24,492	14,209	(10,283)	(42.0)
Estate & Gift 1/	1,071,464	980,000	693,102	647,043	(46,059)	(6.6)
Real Estate Transfer	312,369	296,700	221,981	229,713	7,732	3.5
Pari-Mutuel	36,922	34,000	26,185	26,713	528	2.0
Other (Racing Adms & Boxing)	694	700	521	1,203	682	130.9
Total - State Taxes 2/	\$37,155,083	\$39,328,200	\$24,851,952	\$26,018,552	\$1,166,646	4.7

1/ See page 2 for component detail.

(in thousands)

Personal Income Tax	Net Collections	Estimated Receipts 7/ 1999-2000	Net Collections			
			Year-to-Date		Difference	
			1998-1999	1999-2000	Amount	Percent
Withholding	\$16,520,651	\$17,717,200	\$9,832,337	\$10,591,440	\$759,103	7.7
Estimated Tax	5,182,394	5,694,700	3,270,380	3,678,890	408,510	12.5
Final Payments	1,189,558	1,409,400	1,098,482	1,270,497	172,015	15.7
Delinquencies	466,165	503,800	327,643	344,044	16,401	5.0
Limited Liability Company Fees	12,305	- - -	8,069	12,202	4,133	51.2
Total Gross Collections	\$23,371,073	\$25,325,100	\$14,536,911	\$15,897,073	\$1,360,162	9.4
Refunds/Minor Offsets	2,495,394	2,612,300	1,915,574	2,105,641	190,067	9.9
State/City Offsets	299,611	279,800	173,909	218,542	44,633	25.7
Net, before Refund Reserve	\$20,576,068	\$22,433,000	\$12,447,428	\$13,572,890	\$1,125,462	9.0
Refund Reserve Transactions	86,308	545,900	2,392,219	2,305,911	(86,308)	(3.6)
Net, after Refund Reserve	\$20,662,376	\$22,978,900	\$14,839,647	\$15,878,801	\$1,039,154	7.0
Other Component Detail						
Highway/Fuel Use	\$168,667	\$145,300	\$118,433	\$100,891	(\$17,542)	(14.8)
Highway Use	144,202	NA	102,128	86,023	(16,105)	(15.8)
Truck Mileage	140,371	NA	99,613	82,725	(16,888)	(17.0)
Permits	3,831	NA	2,515	3,298	783	31.1
Fuel Use	24,465	NA	16,305	14,868	(1,437)	(8.8)
Article 21	789	NA	799	764	(35)	(4.4)
Article 21A IFTA	23,195	NA	15,258	13,924	(1,334)	(8.7)
IFTA Decal Fees & Trip Permits	481	NA	248	180	(68)	(27.4)
Corporation & Utilities 6/	\$1,545,944	\$1,385,000	\$707,530	\$720,220	\$12,690	1.8
Article 9 (excl sec. 180, 186-A, A&E & P)	363,104	NA	144,106	153,365	9,259	6.4
Utilities (section 186-A, A&E & P)	1,182,840	NA	563,424	566,855	3,431	0.6
Petroleum	\$1,034,175	\$988,100	\$686,924	\$697,320	\$10,396	1.5
Article 13-A (prior to 9/1/90)	(37)	NA	(11)	50	61	554.5
Article 13-A (after 9/1/90)	1,032,987	NA	686,841	697,202	10,361	1.5
Article 9, Section 182-A	1,218	NA	90	59	(31)	(34.4)
Lubricating Oils (repealed 9/1/94)	7	NA	4	9	5	125.0
Estate & Gift	\$1,071,464	\$980,000	\$693,102	\$647,043	(\$46,059)	(6.6)
Estate	946,445	NA	666,051	611,806	(54,245)	(8.1)
Gift	125,019	NA	27,051	35,237	8,186	30.3

(in thousands)

Local Taxes	Net	Estimated	Net Collections			
	Collections	Receipts 7/	Year-to-Date		Difference	
	1998-1999	1999-2000	1998-1999	1999-2000	Amount	Percent
M.T.A. Corporate Surcharge	\$547,005	---	\$278,539	\$252,068	(\$26,471)	(9.5)
New York City Alcoholic Beverage	19,347	---	13,090	14,598	1,508	11.5
New York City Motor Fuel	0	---	0	0	0	NA
New York City Personal Income	5,488,300	---	3,383,376	3,377,928	(5,448)	(0.2)
Sales & Use (Includes M.A.C.)	7,800,424	---	5,012,722	5,340,570	327,848	6.5
Stock Transfer (to NYC; all rebatable)	6,782,443	---	3,279,671	3,761,096	481,425	14.7
Yonkers Personal Income	23,882	---	17,349	15,997	(1,352)	(7.8)
Total-Local Taxes	\$20,661,401	---	\$11,984,747	\$12,762,257	\$777,510	6.5
Total State & Local Taxes	\$57,816,484	---	\$36,836,699	\$38,780,809	\$1,944,156	5.3

2/ Includes Hotel/Motel Occupancy (repealed 9/1/94):

1998-1999	1999-2000
\$50	\$4

3/ Includes tax on informational and entertainment services (900) numbers:

1998-1999	1999-2000
\$467	\$775

4/ Includes Cigarette License and Sticker Fees.

5/ Includes Article 13-A Petroleum Business Tax, Lubricating Oils Tax (repealed 9/1/94), former Article 13-A Gross Receipts Tax, and former Article 9, Section 182-A.

6/ Reflects adjustments to prior periods posted during November 1999.

7/ From the Budget Forecast, August 1999. Estimates adjusted to a collection basis. Article 13 estimate included in Corporation Franchise Tax; Cigarette License Fees and Stickers estimates included in Cigarette/Tobacco Products; Direct Writings estimate included in Insurance (Article 33); Hotel/Motel Occupancy included in User Taxes.