

September 2000

(in thousands)

State Taxes	September 2000			Fiscal Year 2000-01		
	Gross	Refunds	Net	Gross	Refunds	Net
Personal Income Tax-net (Adj)	\$2,590,108	\$93,695	\$2,496,413	\$18,642,298	\$2,172,976	\$16,469,322
Total Gross Collections	2,590,108	---	2,590,108	14,675,324	---	14,675,324
Less: Refunds and Minor Offsets	---	93,708	93,708	---	2,086,011	2,086,011
Less: State/City Offsets	---	(13)	(13)	---	86,965	86,965
Net Collections, before Refund Reserve	---	---	2,496,413	---	---	12,502,348
Refund Reserve Transactions	---	---	---	3,966,974	0	3,966,974
User Taxes 1/	\$1,051,499	\$4,403	\$1,047,096	\$5,250,342	\$39,102	\$5,211,240
Sales & Use 2/	845,060	2,471	842,589	4,241,158	25,555	4,215,603
Motor Fuel	46,676	884	45,792	272,453	7,923	264,530
Cigarette/Tobacco Products 3/	117,346	836	116,510	546,008	4,409	541,599
Alcoholic Beverage	17,841	0	17,841	91,145	41	91,104
Highway/Fuel Use	11,432	212	11,220	79,974	1,174	78,800
Containers	0	0	0	6	0	6
Auto Rental	13,143	0	13,143	19,596	0	19,596
Business Taxes	\$1,116,631	\$31,099	\$1,085,532	\$2,912,515	\$287,645	\$2,624,870
Corporation Franchise	482,269	21,311	460,958	1,313,667	213,538	1,100,129
Corporation & Utilities	260,425	185	260,240	506,597	7,326	499,271
Banks	161,489	8,258	153,231	324,374	26,986	297,388
Insurance (Article 33)	126,203	460	125,743	274,760	30,389	244,371
Direct Writings	679	0	679	1,396	4	1,392
Petroleum 4/	85,566	885	84,681	491,721	9,402	482,319
Other Revenues	\$81,405	\$7,506	\$73,899	\$714,306	\$39,035	\$675,271
Real Property Gains	306	87	219	3,096	840	2,256
Estate & Gift	40,850	7,419	33,431	479,985	38,076	441,909
Real Estate Transfer	35,925	0	35,925	212,508	119	212,389
Pari-Mutuel (w/o Uncashed Tickets)	4,311	0	4,311	18,425	0	18,425
Other (Racing Admissions & Boxing)	13	0	13	292	0	292
Total - State Taxes 1/	\$4,839,643	\$136,703	\$4,702,940	\$27,519,461	\$2,538,758	\$24,980,703

Local Taxes	September 2000			Fiscal Year 2000-01		
	Gross	Refunds	Net	Gross	Refunds	Net
M.T.A. Corporate Surcharge	\$139,355	\$3,499	\$135,856	\$320,061	\$23,583	\$296,478
New York City Alcoholic Beverage	1,177	2	1,175	11,046	21	11,025
New York City Motor Fuel	0	0	0	0	0	0
New York City Personal Income - Net (Adjusted)	502,384	28,446	473,938	3,241,576	550,801	2,690,775
Total Gross Collections	502,384	---	502,384	3,155,385	---	3,155,385
Less: Refunds and Minor Offsets	---	28,446	28,446	---	550,801	550,801
Plus: State/City Offsets	0	---	0	86,191	---	86,191
Sales & Use (Includes M.A.C.)	918,182	2,536	915,646	4,457,945	26,453	4,431,492
Stock Transfer (to NYC; all eligible for rebate)	1,537,937	0	1,537,937	3,388,365	0	3,388,365
Yonkers Personal Income - Net (Adjusted)	1,439	139	1,300	10,272	2,632	7,640
Total Gross Collections	1,452	---	1,452	9,498	---	9,498
Less: Refunds and Minor Offsets	---	139	139	---	2,632	2,632
Plus: State/City Offsets	(13)	---	(13)	774	---	774
Total-Local Taxes	\$3,100,474	\$34,622	\$3,065,852	\$11,429,265	\$603,490	\$10,825,775
Total State & Local Taxes 1/	\$7,940,117	\$171,325	\$7,768,792	\$38,948,726	\$3,142,248	\$35,806,478

0

1/ Includes Hotel/Motel Occupancy (repealed 9/1/94):

September 2000	Fiscal Year 2000-01
\$1	\$2

2/ Includes tax on informational and entertainment services (900 numbers):

September 2000	Fiscal Year 2000-01
\$203	\$871

3/ Includes Cigarette License and Sticker Fees.

4/ Includes Article 13-A Petroleum Business Tax, Lubricating Oils Tax (repealed 9/1/94), former Article 13-A Gross Receipts Tax, and former Article 9, Section 182-A.

September 2000

(in thousands)

State Taxes	Net Collections		Difference	
	September 1999	September 2000	Amount	Percent
Personal Income Tax 1/	\$2,157,447	\$2,496,413	\$338,966	15.7
User Taxes 2/	\$982,742	\$1,047,096	\$64,353	6.5
Sales and Use 3/	839,460	842,589	3,129	0.4
Motor Fuel	46,393	45,792	(601)	(1.3)
Cigarette/Tobacco Products 4/	59,216	116,510	57,294	96.8
Alcoholic Beverage	15,095	17,841	2,746	18.2
Highway/Fuel Use 1/	10,337	11,220	883	8.5
Containers (repealed 10/1/98)	0	0	0	NA
Auto Rental	12,241	13,143	902	7.4
Business Taxes	\$1,072,684	\$1,085,532	\$12,848	1.2
Corporation Franchise	373,332	460,958	87,626	23.5
Corporation & Utilities 1/	374,648	260,240	(114,408)	(30.5)
Banks	88,771	153,231	64,460	72.6
Insurance (Article 33)	151,551	125,743	(25,808)	(17.0)
Direct Writings	586	679	93	15.9
Petroleum 1/, 5/	83,796	84,681	885	1.1
Other Revenues	\$95,681	\$73,899	(\$21,782)	(22.8)
Real Property Gains (repealed 6/15/96)	775	219	(556)	(71.7)
Estate & Gift 1/	63,631	33,431	(30,200)	(47.5)
Real Estate Transfer	26,407	35,925	9,518	36.0
Pari-Mutuel	4,772	4,311	(461)	(9.7)
Other (Racing Adms & Boxing)	96	13	(83)	(86.5)
Total - State Taxes 2/	\$4,308,554	\$4,702,940	\$394,385	9.2

1/ See page 2 for component detail.

Personal Income Tax	Net Collections		Difference	
	September 1999	September 2000	Amount	Percent
Withholding	\$1,234,169	\$1,417,609	\$183,440	14.9
Estimated Tax	966,114	1,122,956	156,842	16.2
Final Payments 6/	9,947	10,998	1,051	10.6
Delinquencies	27,310	38,353	11,043	40.4
Limited Liability Company Fees	177	192	15	8.5
Total Gross Collections	\$2,237,717	\$2,590,108	\$352,391	15.7
Refunds/Minor Offsets	80,226	93,708	13,482	16.8
State/City Offsets	44	(13)	(57)	(129.5)
Net, before Refund Reserve	\$2,157,447	\$2,496,413	\$338,966	15.7
Refund Reserve Transactions	0	0	0	NA
Net, after Refund Reserve	\$2,157,447	\$2,496,413	\$338,966	15.7
Other Component Detail				
Highway/Fuel Use	\$10,337	\$11,220	\$883	8.5
Highway Use	7,823	6,750	(1,073)	(13.7)
Truck Mileage	7,498	6,401	(1,097)	(14.6)
Permits	325	349	24	7.4
Fuel Use	2,514	4,470	1,956	77.8
Article 21	162	46	(116)	(71.6)
Article 21A IFTA	2,346	4,409	2,063	87.9
IFTA Decal Fees & Trip Permits	6	15	9	150.0
Corporation & Utilities 7/	\$374,648	\$260,240	(\$114,408)	(30.5)
Article 9 (excl sec. 180, 186-A, A&E & P)	83,448	64,853	(18,595)	(22.3)
Utilities (section 186-A, A&E & P)	291,200	195,387	(95,813)	(32.9)
Petroleum	\$83,796	\$84,681	\$885	1.1
Article 13-A (prior to 9/1/90)	0	7	7	NA
Article 13-A (after 9/1/90)	83,795	84,673	878	1.0
Article 9, Section 182-A	0	0	0	NA
Lubricating Oils (repealed 9/1/94)	1	1	0	0.0
Estate & Gift	\$63,631	\$33,431	(\$30,200)	(47.5)
Estate	62,759	33,017	(29,742)	(47.4)
Gift	872	414	(458)	(52.5)

Local Taxes	Net Collections		Difference	
	September 1999	September 2000	Amount	Percent
M.T.A. Corporate Surcharge	\$118,469	\$135,856	\$17,387	14.7
New York City Alcoholic Beverage	1,824	1,175	(649)	(35.6)
New York City Motor Fuel	0	0	0	NA
New York City Personal Income	473,065	473,938	873	0.2
Sales & Use (Includes M.A.C.)	849,800	915,646	65,846	7.7
Stock Transfer (to NYC; all rebatable)	1,797,735	1,537,937	(259,798)	(14.5)
Yonkers Personal Income	1,876	1,300	(576)	(30.7)
Total-Local Taxes	\$3,242,769	\$3,065,852	(\$176,917)	(5.5)
Total State & Local Taxes	\$7,551,323	\$7,768,792	\$217,468	2.9

2/ Includes Hotel/Motel Occupancy (repealed 9/1/94):

September 1999	September 2000
\$0	\$1

3/ Includes tax on informational and entertainment services (900) numbers:

September 1999	September 2000
\$287	\$203

4/ Includes Cigarette License and Sticker Fees.

5/ Includes Article 13-A Petroleum Business Tax, Lubricating Oils Tax (repealed 9/1/94), former Article 13-A Gross Receipts Tax, and former Article 9, Section 182-A.

6/ Includes final payments from electronically filed returns for the current fiscal year, formerly included in Estimated Tax.

September 1999	September 2000
\$35	\$50

7/ Reflects adjustments to prior periods posted during September 2000.

September 2000

(in thousands)

State Taxes	Net Collections	Estimated Receipts 8/ 2000-2001	Net Collections			
			Year-to-Date		Difference	
			1999-2000	2000-2001	Amount	Percent
Personal Income Tax 1/	\$21,533,218	\$27,764,000	\$13,234,660	\$16,469,322	\$3,234,662	24.4
User Taxes 2/	\$9,770,055	\$9,820,600	\$4,815,452	\$5,211,240	\$395,786	8.2
Sales and Use 3/	8,214,883	7,961,900	4,019,919	4,215,603	195,684	4.9
Motor Fuel	518,773	527,200	270,051	264,530	(5,521)	(2.0)
Cigarette/Tobacco Products 4/	671,653	961,000	342,294	541,599	199,305	58.2
Alcoholic Beverage	177,044	176,000	87,686	91,104	3,418	3.9
Highway/Fuel Use 1/	150,226	152,500	74,469	78,800	4,331	5.8
Containers (repealed 10/1/98)	6	---	7	6	(1)	(14.3)
Auto Rental	37,465	42,000	21,026	19,596	(1,430)	(6.8)
Business Taxes	\$5,544,609	\$5,198,100	\$2,669,993	\$2,624,870	(\$45,123)	(1.7)
Corporation Franchise	1,938,616	2,150,000	845,779	1,100,129	254,350	30.1
Corporation & Utilities 1/	1,485,692	910,000	698,249	499,271	(198,978)	(28.5)
Banks	525,509	540,000	316,659	297,388	(19,271)	(6.1)
Insurance (Article 33)	586,941	601,000	281,486	244,371	(37,115)	(13.2)
Direct Writings	2,920	---	1,624	1,392	(232)	(14.3)
Petroleum 1/, 5/	1,004,931	997,100	526,196	482,319	(43,877)	(8.3)
Other Revenues	\$1,447,629	\$1,099,000	\$684,311	\$675,271	(\$9,040)	(1.3)
Real Property Gains (repealed 6/15/96)	14,824	4,000	13,800	2,256	(11,544)	(83.7)
Estate & Gift 1/	1,054,669	728,000	489,598	441,909	(47,689)	(9.7)
Real Estate Transfer	340,230	333,000	159,039	212,389	53,350	33.5
Pari-Mutuel	36,369	33,000	21,461	18,425	(3,036)	(14.1)
Other (Racing Adms & Boxing)	1,537	1,000	413	292	(121)	(29.3)
Total - State Taxes 2/	\$38,295,511	\$43,881,700	\$21,404,416	\$24,980,703	\$3,576,285	16.7

1/ See page 2 for component detail.

(in thousands)

	Net Collections	Estimated Receipts 8/ 2000-2001	Net Collections			
			Year-to-Date		Difference	
			1999-2000	2000-2001	Amount	Percent
Personal Income Tax						
Withholding	\$18,460,535	\$19,386,000	\$7,910,693	\$8,874,111	\$963,418	12.2
Estimated Tax	5,875,275	6,258,000	3,614,688	4,068,976	454,288	12.6
Final Payments 6/	1,371,233	1,510,000	1,177,573	1,427,291	249,718	21.2
Delinquencies	511,835	510,000	264,881	289,785	24,904	9.4
Limited Liability Company Fees	16,680	---	11,890	15,161	3,271	27.5
Total Gross Collections	\$26,235,558	\$27,664,000	\$12,979,725	\$14,675,324	\$1,695,599	13.1
Refunds/Minor Offsets	2,716,539	2,970,000	1,908,252	2,086,011	177,759	9.3
State/City Offsets	324,738	330,000	142,724	86,965	(55,759)	(39.1)
Net, before Refund Reserve	\$23,194,281	\$24,364,000	\$10,928,749	\$12,502,348	\$1,573,599	14.4
Refund Reserve Transactions	(1,661,063)	3,400,000	2,305,911	3,966,974	1,661,063	72.0
Net, after Refund Reserve	\$21,533,218	\$27,764,000	\$13,234,660	\$16,469,322	\$3,234,662	24.4
Other Component Detail						
Highway/Fuel Use	\$150,226	\$152,500	\$74,469	\$78,800	\$4,331	5.8
Highway Use	126,548	NA	62,613	64,427	1,814	2.9
Truck Mileage	119,132	NA	60,481	61,912	1,431	2.4
Permits	7,416	NA	2,132	2,515	383	18.0
Fuel Use	23,678	NA	11,856	14,373	2,517	21.2
Article 21	892	NA	717	289	(428)	(59.7)
Article 21A IFTA	22,306	NA	11,078	14,019	2,941	26.5
IFTA Decal Fees & Trip Permits	480	NA	61	65	4	6.6
Corporation & Utilities 7/	\$1,485,692	\$910,000	\$698,249	\$499,271	(\$198,978)	(28.5)
Article 9 (excl sec. 180, 186-A, A&E & P)	359,323	NA	155,893	128,761	(27,132)	(17.4)
Utilities (section 186-A, A&E & P)	1,126,369	NA	542,356	370,510	(171,846)	(31.7)
Petroleum	\$1,004,931	\$997,100	\$526,196	\$482,319	(\$43,877)	(8.3)
Article 13-A (prior to 9/1/90)	149	NA	43	27	(16)	(37.2)
Article 13-A (after 9/1/90)	1,004,712	NA	526,128	482,289	(43,839)	(8.3)
Article 9, Section 182-A	59	NA	20	(1)	(21)	(105.0)
Lubricating Oils (repealed 9/1/94)	11	NA	5	4	(1)	(20.0)
Estate & Gift	\$1,054,669	\$728,000	\$489,598	\$441,909	(\$47,689)	(9.7)
Estate	975,172	NA	461,154	418,651	(42,503)	(9.2)
Gift	79,497	NA	28,444	23,258	(5,186)	(18.2)

(in thousands)

Local Taxes	Net Collections	Estimated Receipts 8/ 2000-2001	Net Collections			
			Year-to-Date		Difference	
			1999-2000	2000-2001	Amount	Percent
M.T.A. Corporate Surcharge	\$586,807	---	\$239,688	\$296,478	\$56,790	23.7
New York City Alcoholic Beverage	21,309	---	10,953	11,025	72	0.7
New York City Motor Fuel	0	---	0	0	0	NA
New York City Personal Income	5,638,883	---	2,723,508	2,690,775	(32,733)	(1.2)
Sales & Use (Includes M.A.C.)	8,399,323	---	4,085,838	4,431,492	345,654	8.5
Stock Transfer (to NYC; all rebatable)	7,494,936	---	3,760,983	3,388,365	(372,618)	(9.9)
Yonkers Personal Income	21,612	---	12,800	7,640	(5,160)	(40.3)
Total-Local Taxes	\$22,162,870	---	\$10,833,770	\$10,825,775	(\$7,995)	(0.1)
Total State & Local Taxes	\$60,458,381	---	\$32,238,186	\$35,806,478	\$3,568,290	11.1

2/ Includes Hotel/Motel Occupancy (repealed 9/1/94):

1999-2000	2000-2001
\$2	\$2

3/ Includes tax on informational and entertainment services (900) numbers:

1999-2000	2000-2001
\$761	\$871

4/ Includes Cigarette License and Sticker Fees.

5/ Includes Article 13-A Petroleum Business Tax, Lubricating Oils Tax (repealed 9/1/94), former Article 13-A Gross Receipts Tax, and former Article 9, Section 182-A.

6/ Includes final payments from electronically filed returns for the current fiscal year, formerly included in Estimated Tax.

1999-2000	2000-2001
\$35,217	\$50,844

7/ Reflects adjustments to prior periods posted during September 2000.

8/ From the Budget Forecast, May 2000. Estimates adjusted to a collection basis. Article 13 estimate included in Corporation Franchise Tax; Cigarette License Fees and Stickers estimates included in Cigarette/Tobacco Products; Direct Writings estimate included in Insurance (Article 33); Hotel/Motel Occupancy included in User Taxes.