

October 2000

(in thousands)

State Taxes	October 2000			Fiscal Year 2000-01		
	Gross	Refunds	Net	Gross	Refunds	Net
Personal Income Tax-net (Adj)	\$1,737,223	\$56,385	\$1,680,838	\$20,379,521	\$2,229,361	\$18,150,160
Total Gross Collections	1,737,223	---	1,737,223	16,412,547	---	16,412,547
Less: Refunds and Minor Offsets	---	56,433	56,433	---	2,142,444	2,142,444
Less: State/City Offsets	---	(48)	(48)	---	86,917	86,917
Net Collections, before Refund Reserve	---	---	1,680,838	---	---	14,183,186
Refund Reserve Transactions	---	---	---	3,966,974	0	3,966,974
User Taxes 1/	\$846,648	\$8,037	\$838,611	\$6,096,990	\$47,139	\$6,049,851
Sales & Use 2/	687,041	5,655	681,386	4,928,199	31,210	4,896,989
Motor Fuel	42,625	1,241	41,384	315,078	9,164	305,914
Cigarette/Tobacco Products 3/	87,101	1,046	86,055	633,109	5,455	627,654
Alcoholic Beverage	14,678	(1)	14,679	105,823	40	105,783
Highway/Fuel Use	14,981	96	14,885	94,955	1,270	93,685
Containers	0	0	0	6	0	6
Auto Rental	218	0	218	19,814	0	19,814
Business Taxes	\$198,861	\$27,121	\$171,740	\$3,111,376	\$314,766	\$2,796,610
Corporation Franchise	207,364	22,023	185,341	1,521,031	235,561	1,285,470
Corporation & Utilities	(110,325)	259	(110,584)	396,272	7,585	388,687
Banks	13,620	2,846	10,774	337,994	29,832	308,162
Insurance (Article 33)	2,797	1,338	1,459	277,557	31,727	245,830
Direct Writings	352	0	352	1,748	4	1,744
Petroleum 4/	85,053	655	84,398	576,774	10,057	566,717
Other Revenues	\$54,771	\$10,193	\$44,578	\$769,077	\$49,228	\$719,849
Real Property Gains	319	0	319	3,415	840	2,575
Estate & Gift	30,987	6,325	24,662	510,972	44,401	466,571
Real Estate Transfer	20,586	61	20,525	233,094	180	232,914
Pari-Mutuel (w/o Uncashed Tickets)	2,663	3,807	(1,144)	21,088	3,807	17,281
Other (Racing Admissions & Boxing)	216	0	216	508	0	508
Total - State Taxes 1/	\$2,837,503	\$101,736	\$2,735,767	\$30,356,964	\$2,640,494	\$27,716,470

Local Taxes	October 2000			Fiscal Year 2000-01		
	Gross	Refunds	Net	Gross	Refunds	Net
M.T.A. Corporate Surcharge	\$11,268	\$2,385	\$8,883	\$331,329	\$25,968	\$305,361
New York City Alcoholic Beverage	1,835	0	1,835	12,881	21	12,860
New York City Motor Fuel	0	0	0	0	0	0
New York City Personal Income - Net (Adjusted)	359,059	19,492	339,567	3,600,635	570,293	3,030,342
Total Gross Collections	359,059	---	359,059	3,514,444	---	3,514,444
Less: Refunds and Minor Offsets	---	19,492	19,492	---	570,293	570,293
Plus: State/City Offsets	0	---	0	86,191	---	86,191
Sales & Use (Includes M.A.C.)	709,429	5,828	703,601	5,167,374	32,281	5,135,093
Stock Transfer (to NYC; all eligible for rebate)	27	0	27	3,388,392	0	3,388,392
Yonkers Personal Income - Net (Adjusted)	900	57	843	11,172	2,689	8,483
Total Gross Collections	948	---	948	10,446	---	10,446
Less: Refunds and Minor Offsets	---	57	57	---	2,689	2,689
Plus: State/City Offsets	(48)	---	(48)	726	---	726
Total-Local Taxes	\$1,082,518	\$27,762	\$1,054,756	\$12,511,783	\$631,252	\$11,880,531
Total State & Local Taxes 1/	\$3,920,021	\$129,498	\$3,790,523	\$42,868,747	\$3,271,746	\$39,597,001

0

1/ Includes Hotel/Motel Occupancy (repealed 9/1/94):

October 2000	Fiscal Year 2000-01
\$4	\$6

2/ Includes tax on informational and entertainment services (900 numbers):

October 2000	Fiscal Year 2000-01
\$37	\$908

3/ Includes Cigarette License and Sticker Fees.

4/ Includes Article 13-A Petroleum Business Tax, Lubricating Oils Tax (repealed 9/1/94), former Article 13-A Gross Receipts Tax, and former Article 9, Section 182-A.

October 2000

(in thousands)

State Taxes	Net Collections		Difference	
	October 1999	October 2000	Amount	Percent
Personal Income Tax 1/	\$1,333,769	\$1,680,838	\$347,069	26.0
User Taxes 2/	\$749,409	\$838,611	\$89,198	11.9
Sales and Use 3/	630,222	681,386	51,164	8.1
Motor Fuel	39,968	41,384	1,416	3.5
Cigarette/Tobacco Products 4/	52,988	86,055	33,067	62.4
Alcoholic Beverage	14,195	14,679	484	3.4
Highway/Fuel Use 1/	11,790	14,885	3,095	26.3
Containers (repealed 10/1/98)	(1)	0	1	100.0
Auto Rental	247	218	(29)	(11.7)
Business Taxes	\$167,816	\$171,740	\$3,924	2.3
Corporation Franchise	55,710	185,341	129,631	232.7
Corporation & Utilities 1/	3,732	(110,584)	(114,316)	(3,063.1)
Banks	15,976	10,774	(5,202)	(32.6)
Insurance (Article 33)	5,906	1,459	(4,447)	(75.3)
Direct Writings	112	352	240	214.3
Petroleum 1/, 5/	86,380	84,398	(1,982)	(2.3)
Other Revenues	\$109,054	\$44,578	(\$64,476)	(59.1)
Real Property Gains (repealed 6/15/96)	(298)	319	617	207.0
Estate & Gift 1/	67,973	24,662	(43,311)	(63.7)
Real Estate Transfer	38,341	20,525	(17,816)	(46.5)
Pari-Mutuel	2,271	(1,144)	(3,415)	(150.4)
Other (Racing Adms & Boxing)	767	216	(551)	(71.8)
Total - State Taxes 2/	\$2,360,048	\$2,735,767	\$375,715	15.9

1/ See page 2 for component detail.

October 2000
(in thousands)

Monthly Comparison of Net Collections

Personal Income Tax	Net Collections		Difference	
	October 1999	October 2000	Amount	Percent
Withholding	\$1,234,362	\$1,539,242	\$304,880	24.7
Estimated Tax	39,860	47,550	7,690	19.3
Final Payments 6/	85,656	109,105	23,449	27.4
Delinquencies	35,647	40,969	5,322	14.9
Limited Liability Company Fees	255	357	102	40.0
Total Gross Collections	\$1,395,780	\$1,737,223	\$341,443	24.5
Refunds/Minor Offsets	52,820	56,433	3,613	6.8
State/City Offsets	9,191	(48)	(9,239)	(100.5)
Net, before Refund Reserve	\$1,333,769	\$1,680,838	\$347,069	26.0
Refund Reserve Transactions	0	0	0	NA
Net, after Refund Reserve	\$1,333,769	\$1,680,838	\$347,069	26.0
Other Component Detail				
Highway/Fuel Use	\$11,790	\$14,885	\$3,095	26.3
Highway Use	9,504	13,022	3,518	37.0
Truck Mileage	9,218	12,867	3,649	39.6
Permits	286	155	(131)	(45.8)
Fuel Use	2,286	1,863	(423)	(18.5)
Article 21	11	132	121	1,100.0
Article 21A IFTA	2,268	1,727	(541)	(23.9)
IFTA Decal Fees & Trip Permits	7	4	(3)	(42.9)
Corporation & Utilities 7/	\$3,732	(\$110,584)	(\$114,316)	(3,063.1)
Article 9 (excl sec. 180, 186-A, A&E & P)	(435)	(113,312)	(112,877)	(25,948.7)
Utilities (section 186-A, A&E & P)	4,167	2,728	(1,439)	(34.5)
Petroleum	\$86,380	\$84,398	(\$1,982)	(2.3)
Article 13-A (prior to 9/1/90)	3	6	3	100.0
Article 13-A (after 9/1/90)	86,338	84,389	(1,949)	(2.3)
Article 9, Section 182-A	39	0	(39)	(100.0)
Lubricating Oils (repealed 9/1/94)	0	3	3	NA
Estate & Gift	\$67,973	\$24,662	(\$43,311)	(63.7)
Estate	65,310	22,199	(43,111)	(66.0)
Gift	2,663	2,463	(200)	(7.5)

Local Taxes	Net Collections		Difference	
	October 1999	October 2000	Amount	Percent
M.T.A. Corporate Surcharge	\$9,510	\$8,883	(\$627)	(6.6)
New York City Alcoholic Beverage	1,809	1,835	26	1.4
New York City Motor Fuel	0	0	0	NA
New York City Personal Income	306,578	339,567	32,989	10.8
Sales & Use (Includes M.A.C.)	645,663	703,601	57,938	9.0
Stock Transfer (to NYC; all rebatable)	68	27	(41)	(60.3)
Yonkers Personal Income	1,919	843	(1,076)	(56.1)
Total-Local Taxes	\$965,547	\$1,054,756	\$89,209	9.2
Total State & Local Taxes	\$3,325,595	\$3,790,523	\$464,924	14.0

2/ Includes Hotel/Motel Occupancy (repealed 9/1/94):

October 1999	October 2000
\$0	\$4

3/ Includes tax on informational and entertainment services (900) numbers:

October 1999	October 2000
\$9	\$37

4/ Includes Cigarette License and Sticker Fees.

5/ Includes Article 13-A Petroleum Business Tax, Lubricating Oils Tax (repealed 9/1/94), former Article 13-A Gross Receipts Tax, and former Article 9, Section 182-A.

6/ Includes final payments from electronically filed returns for the current fiscal year, formerly included in Estimated Tax.

October 1999	October 2000
\$86	\$159

7/ Reflects adjustments to prior periods posted during October 2000.

October 2000

(in thousands)

State Taxes	Net Collections	Estimated Receipts 8/ 2000-2001	Net Collections			
			Year-to-Date		Difference	
			1999-2000	2000-2001	Amount	Percent
Personal Income Tax 1/	\$21,533,218	\$27,764,000	\$14,568,429	\$18,150,160	\$3,581,731	24.6
User Taxes 2/	\$9,770,055	\$9,820,600	\$5,564,861	\$6,049,851	\$484,984	8.7
Sales and Use 3/	8,214,883	7,961,900	4,650,141	4,896,989	246,848	5.3
Motor Fuel	518,773	527,200	310,019	305,914	(4,105)	(1.3)
Cigarette/Tobacco Products 4/	671,653	961,000	395,282	627,654	232,372	58.8
Alcoholic Beverage	177,044	176,000	101,881	105,783	3,902	3.8
Highway/Fuel Use 1/	150,226	152,500	86,259	93,685	7,426	8.6
Containers (repealed 10/1/98)	6	---	6	6	0	0.0
Auto Rental	37,465	42,000	21,273	19,814	(1,459)	(6.9)
Business Taxes	\$5,544,609	\$5,198,100	\$2,837,809	\$2,796,610	(\$41,199)	(1.5)
Corporation Franchise	1,938,616	2,150,000	901,489	1,285,470	383,981	42.6
Corporation & Utilities 1/	1,485,692	910,000	701,981	388,687	(313,294)	(44.6)
Banks	525,509	540,000	332,635	308,162	(24,473)	(7.4)
Insurance (Article 33)	586,941	601,000	287,392	245,830	(41,562)	(14.5)
Direct Writings	2,920	---	1,736	1,744	8	0.5
Petroleum 1/, 5/	1,004,931	997,100	612,576	566,717	(45,859)	(7.5)
Other Revenues	\$1,447,629	\$1,099,000	\$793,365	\$719,849	(\$73,516)	(9.3)
Real Property Gains (repealed 6/15/96)	14,824	4,000	13,502	2,575	(10,927)	(80.9)
Estate & Gift 1/	1,054,669	728,000	557,571	466,571	(91,000)	(16.3)
Real Estate Transfer	340,230	333,000	197,380	232,914	35,534	18.0
Pari-Mutuel	36,369	33,000	23,732	17,281	(6,451)	(27.2)
Other (Racing Adms & Boxing)	1,537	1,000	1,180	508	(672)	(56.9)
Total - State Taxes 2/	\$38,295,511	\$43,881,700	\$23,764,464	\$27,716,470	\$3,952,000	16.6

1/ See page 2 for component detail.

(in thousands)

	Net Collections	Estimated Receipts 8/ 2000-2001	Net Collections			
			Year-to-Date		Difference	
			1999-2000	2000-2001	Amount	Percent
Personal Income Tax						
Withholding	\$18,460,535	\$19,386,000	\$9,145,055	\$10,413,353	\$1,268,298	13.9
Estimated Tax	5,875,275	6,258,000	3,654,548	4,116,526	461,978	12.6
Final Payments 6/	1,371,233	1,510,000	1,263,229	1,536,396	273,167	21.6
Delinquencies	511,835	510,000	300,528	330,754	30,226	10.1
Limited Liability Company Fees	16,680	---	12,145	15,518	3,373	27.8
Total Gross Collections	\$26,235,558	\$27,664,000	\$14,375,505	\$16,412,547	\$2,037,042	14.2
Refunds/Minor Offsets	2,716,539	2,970,000	1,961,072	2,142,444	181,372	9.2
State/City Offsets	324,738	330,000	151,915	86,917	(64,998)	(42.8)
Net, before Refund Reserve	\$23,194,281	\$24,364,000	\$12,262,518	\$14,183,186	\$1,920,668	15.7
Refund Reserve Transactions	(1,661,063)	3,400,000	2,305,911	3,966,974	1,661,063	72.0
Net, after Refund Reserve	\$21,533,218	\$27,764,000	\$14,568,429	\$18,150,160	\$3,581,731	24.6
Other Component Detail						
Highway/Fuel Use	\$150,226	\$152,500	\$86,259	\$93,685	\$7,426	8.6
Highway Use	126,548	NA	72,117	77,449	5,332	7.4
Truck Mileage	119,132	NA	69,699	74,779	5,080	7.3
Permits	7,416	NA	2,418	2,670	252	10.4
Fuel Use	23,678	NA	14,142	16,236	2,094	14.8
Article 21	892	NA	728	421	(307)	(42.2)
Article 21A IFTA	22,306	NA	13,346	15,746	2,400	18.0
IFTA Decal Fees & Trip Permits	480	NA	68	69	1	1.5
Corporation & Utilities 7/	\$1,485,692	\$910,000	\$701,981	\$388,687	(\$313,294)	(44.6)
Article 9 (excl sec. 180, 186-A, A&E & P)	359,323	NA	155,458	15,449	(140,009)	(90.1)
Utilities (section 186-A, A&E & P)	1,126,369	NA	546,523	373,238	(173,285)	(31.7)
Petroleum	\$1,004,931	\$997,100	\$612,576	\$566,717	(\$45,859)	(7.5)
Article 13-A (prior to 9/1/90)	149	NA	46	33	(13)	(28.3)
Article 13-A (after 9/1/90)	1,004,712	NA	612,466	566,678	(45,788)	(7.5)
Article 9, Section 182-A	59	NA	59	(1)	(60)	(101.7)
Lubricating Oils (repealed 9/1/94)	11	NA	5	7	2	40.0
Estate & Gift	\$1,054,669	\$728,000	\$557,571	\$466,571	(\$91,000)	(16.3)
Estate	975,172	NA	526,464	440,850	(85,614)	(16.3)
Gift	79,497	NA	31,107	25,721	(5,386)	(17.3)

(in thousands)

Local Taxes	Net Collections	Estimated Receipts 8/	Net Collections			
			Year-to-Date		Difference	
			1999-2000	2000-2001	1999-2000	2000-2001
M.T.A. Corporate Surcharge	\$586,807	---	\$249,198	\$305,361	\$56,163	22.5
New York City Alcoholic Beverage	21,309	---	12,762	12,860	98	0.8
New York City Motor Fuel	0	---	0	0	0	NA
New York City Personal Income	5,638,883	---	3,030,086	3,030,342	256	0.0
Sales & Use (Includes M.A.C.)	8,399,323	---	4,731,501	5,135,093	403,592	8.5
Stock Transfer (to NYC; all rebatable)	7,494,936	---	3,761,051	3,388,392	(372,659)	(9.9)
Yonkers Personal Income	21,612	---	14,719	8,483	(6,236)	(42.4)
Total-Local Taxes	\$22,162,870	---	\$11,799,317	\$11,880,531	\$81,214	0.7
Total State & Local Taxes	\$60,458,381	---	\$35,563,781	\$39,597,001	\$4,033,214	11.3

2/ Includes Hotel/Motel Occupancy (repealed 9/1/94):

1999-2000	2000-2001
\$2	\$6

3/ Includes tax on informational and entertainment services (900) numbers:

1999-2000	2000-2001
\$770	\$908

4/ Includes Cigarette License and Sticker Fees.

5/ Includes Article 13-A Petroleum Business Tax, Lubricating Oils Tax (repealed 9/1/94), former Article 13-A Gross Receipts Tax, and former Article 9, Section 182-A.

6/ Includes final payments from electronically filed returns for the current fiscal year, formerly included in Estimated Tax.

1999-2000	2000-2001
\$35,303	\$51,004

7/ Reflects adjustments to prior periods posted during October 2000.

8/ From the Budget Forecast, May 2000. Estimates adjusted to a collection basis. Article 13 estimate included in Corporation Franchise Tax; Cigarette License Fees and Stickers estimates included in Cigarette/Tobacco Products; Direct Writings estimate included in Insurance (Article 33); Hotel/Motel Occupancy included in User Taxes.