

November 2000

(in thousands)

State Taxes	November 2000			Fiscal Year 2000-01		
	Gross	Refunds	Net	Gross	Refunds	Net
Personal Income Tax-net (Adj)	\$1,680,681	\$167,034	\$1,513,647	\$22,060,202	\$2,396,395	\$19,663,807
Total Gross Collections	1,680,681	---	1,680,681	18,093,228	---	18,093,228
Less: Refunds and Minor Offsets	---	159,813	159,813	---	2,302,257	2,302,257
Less: State/City Offsets	---	7,221	7,221	---	94,138	94,138
Net Collections, before Refund Reserve	---	---	1,513,647	---	---	15,696,833
Refund Reserve Transactions	---	---	---	3,966,974	0	3,966,974
User Taxes 1/	\$807,353	\$5,189	\$802,164	\$6,904,343	\$52,328	\$6,852,015
Sales & Use 2/	644,708	3,255	641,453	5,572,907	34,465	5,538,442
Motor Fuel	42,806	1,254	41,552	357,884	10,418	347,466
Cigarette/Tobacco Products 3/	90,551	645	89,906	723,660	6,100	717,560
Alcoholic Beverage	16,800	0	16,800	122,623	40	122,583
Highway/Fuel Use	12,466	35	12,431	107,421	1,305	106,116
Containers	1	0	1	7	0	7
Auto Rental	18	0	18	19,832	0	19,832
Business Taxes	\$148,537	\$129,487	\$19,050	\$3,259,913	\$444,253	\$2,815,660
Corporation Franchise	60,605	83,200	(22,595)	1,581,636	318,761	1,262,875
Corporation & Utilities	6,652	1,237	5,415	402,924	8,822	394,102
Banks	2,307	37,583	(35,276)	340,301	67,415	272,886
Insurance (Article 33)	1,708	6,567	(4,859)	279,265	38,294	240,971
Direct Writings	370	1	369	2,118	5	2,113
Petroleum 4/	76,895	899	75,996	653,669	10,956	642,713
Other Revenues	\$80,048	\$6,205	\$73,843	\$849,125	\$55,433	\$793,692
Real Property Gains	202	160	42	3,617	1,000	2,617
Estate & Gift	49,199	6,042	43,157	560,171	50,443	509,728
Real Estate Transfer	27,834	3	27,831	260,928	183	260,745
Pari-Mutuel (w/o Uncashed Tickets)	2,765	0	2,765	23,853	3,807	20,046
Other (Racing Admissions & Boxing)	48	0	48	556	0	556
Total - State Taxes 1/	\$2,716,619	\$307,915	\$2,408,704	\$33,073,583	\$2,948,409	\$30,125,174

(in thousands)

Local Taxes	November 2000			Fiscal Year 2000-01		
	Gross	Refunds	Net	Gross	Refunds	Net
M.T.A. Corporate Surcharge	\$9,655	\$11,883	(\$2,228)	\$340,984	\$37,851	\$303,133
New York City Alcoholic Beverage	1,921	7	1,914	14,802	28	14,774
New York City Motor Fuel	0	0	0	0	0	0
New York City Personal Income - Net (Adjusted)	345,687	38,058	307,629	3,946,322	608,351	3,337,971
Total Gross Collections	338,368	---	338,368	3,852,812	---	3,852,812
Less: Refunds and Minor Offsets	---	38,058	38,058	---	608,351	608,351
Plus: State/City Offsets	7,319	---	7,319	93,510	---	93,510
Sales & Use (Includes M.A.C.)	659,779	3,356	656,423	5,827,153	35,637	5,791,516
Stock Transfer (to NYC; all eligible for rebate)	97	0	97	3,388,489	0	3,388,489
Yonkers Personal Income - Net (Adjusted)	981	142	839	12,153	2,831	9,322
Total Gross Collections	1,079	---	1,079	11,525	---	11,525
Less: Refunds and Minor Offsets	---	142	142	---	2,831	2,831
Plus: State/City Offsets	(98)	---	(98)	628	---	628
Total-Local Taxes	\$1,018,120	\$53,446	\$964,674	\$13,529,903	\$684,698	\$12,845,205
Total State & Local Taxes 1/	\$3,734,739	\$361,361	\$3,373,378	\$46,603,486	\$3,633,107	\$42,970,379

0

1/ Includes Hotel/Motel Occupancy (repealed 9/1/94):

November 2000	Fiscal Year 2000-01
\$3	\$9

2/ Includes tax on informational and entertainment services (900 numbers):

November 2000	Fiscal Year 2000-01
\$155	\$1,063

3/ Includes Cigarette License and Sticker Fees.

4/ Includes Article 13-A Petroleum Business Tax, Lubricating Oils Tax (repealed 9/1/94), former Article 13-A Gross Receipts Tax, and former Article 9, Section 182-A.

November 2000

(in thousands)

State Taxes	Net Collections		Difference	
	November 1999	November 2000	Amount	Percent
Personal Income Tax 1/	\$1,310,372	\$1,513,647	\$203,275	15.5
User Taxes 2/	\$721,836	\$802,164	\$80,327	11.1
Sales and Use 3/	596,814	641,453	44,639	7.5
Motor Fuel	41,972	41,552	(420)	(1.0)
Cigarette/Tobacco Products 4/	51,729	89,906	38,177	73.8
Alcoholic Beverage	16,618	16,800	182	1.1
Highway/Fuel Use 1/	14,632	12,431	(2,201)	(15.0)
Containers (repealed 10/1/98)	0	1	1	NA
Auto Rental	69	18	(51)	(73.9)
Business Taxes	\$96,362	\$19,050	(\$77,312)	(80.2)
Corporation Franchise	14,816	(22,595)	(37,411)	(252.5)
Corporation & Utilities 1/	18,239	5,415	(12,824)	(70.3)
Banks	(16,536)	(35,276)	(18,740)	(113.3)
Insurance (Article 33)	(5,391)	(4,859)	532	9.9
Direct Writings	490	369	(121)	(24.7)
Petroleum 1/, 5/	84,744	75,996	(8,748)	(10.3)
Other Revenues	\$125,516	\$73,843	(\$51,673)	(41.2)
Real Property Gains (repealed 6/15/96)	707	42	(665)	(94.1)
Estate & Gift 1/	89,472	43,157	(46,315)	(51.8)
Real Estate Transfer	32,333	27,831	(4,502)	(13.9)
Pari-Mutuel	2,981	2,765	(216)	(7.2)
Other (Racing Adms & Boxing)	23	48	25	108.7
Total - State Taxes 2/	\$2,254,086	\$2,408,704	\$154,617	6.9

1/ See page 2 for component detail.

(in thousands)

Personal Income Tax	Net Collections		Difference	
	November 1999	November 2000	Amount	Percent
Withholding	\$1,446,385	\$1,597,195	\$150,810	10.4
Estimated Tax	24,342	28,484	4,142	17.0
Final Payments 6/	7,268	7,797	529	7.3
Delinquencies	43,516	47,148	3,632	8.3
Limited Liability Company Fees	57	57	0	0.0
Total Gross Collections	\$1,521,568	\$1,680,681	\$159,113	10.5
Refunds/Minor Offsets	144,569	159,813	15,244	10.5
State/City Offsets	66,627	7,221	(59,406)	(89.2)
Net, before Refund Reserve	\$1,310,372	\$1,513,647	\$203,275	15.5
Refund Reserve Transactions	0	0	0	NA
Net, after Refund Reserve	\$1,310,372	\$1,513,647	\$203,275	15.5
Other Component Detail				
Highway/Fuel Use	\$14,632	\$12,431	(\$2,201)	(15.0)
Highway Use	13,906	11,809	(2,097)	(15.1)
Truck Mileage	13,026	11,716	(1,310)	(10.1)
Permits	880	93	(787)	(89.4)
Fuel Use	726	622	(104)	(14.3)
Article 21	36	15	(21)	(58.3)
Article 21A IFTA	578	473	(105)	(18.2)
IFTA Decal Fees & Trip Permits	112	134	22	19.6
Corporation & Utilities 7/	\$18,239	\$5,415	(\$12,824)	(70.3)
Article 9 (excl sec. 180, 186-A, A&E & P)	(2,093)	2,086	4,179	199.7
Utilities (section 186-A, A&E & P)	20,332	3,329	(17,003)	(83.6)
Petroleum	\$84,744	\$75,996	(\$8,748)	(10.3)
Article 13-A (prior to 9/1/90)	4	0	(4)	(100.0)
Article 13-A (after 9/1/90)	84,736	75,996	(8,740)	(10.3)
Article 9, Section 182-A	0	(1)	(1)	NA
Lubricating Oils (repealed 9/1/94)	4	1	(3)	(75.0)
Estate & Gift	\$89,472	\$43,157	(\$46,315)	(51.8)
Estate	85,342	42,570	(42,772)	(50.1)
Gift	4,130	587	(3,543)	(85.8)

Local Taxes	Net Collections		Difference	
	November 1999	November 2000	Amount	Percent
M.T.A. Corporate Surcharge	\$2,870	(\$2,228)	(\$5,098)	(177.6)
New York City Alcoholic Beverage	1,836	1,914	78	4.2
New York City Motor Fuel	0	0	0	NA
New York City Personal Income	347,842	307,629	(40,213)	(11.6)
Sales & Use (Includes M.A.C.)	609,069	656,423	47,354	7.8
Stock Transfer (to NYC; all rebatable)	45	97	52	115.6
Yonkers Personal Income	1,278	839	(439)	(34.4)
Total-Local Taxes	\$962,940	\$964,674	\$1,734	0.2
Total State & Local Taxes	\$3,217,026	\$3,373,378	\$156,351	4.9

2/ Includes Hotel/Motel Occupancy (repealed 9/1/94):

November 1999	November 2000
\$2	\$3

3/ Includes tax on informational and entertainment services (900) numbers:

November 1999	November 2000
\$5	\$155

4/ Includes Cigarette License and Sticker Fees.

5/ Includes Article 13-A Petroleum Business Tax, Lubricating Oils Tax (repealed 9/1/94), former Article 13-A Gross Receipts Tax, and former Article 9, Section 182-A.

6/ Includes final payments from electronically filed returns for the current fiscal year, formerly included in Estimated Tax.

November 1999	November 2000
\$13	\$10

7/ Reflects adjustments to prior periods posted during November 2000.

November 2000

(in thousands)

State Taxes	Net Collections	Estimated Receipts 8/ 2000-2001	Net Collections			
			Year-to-Date		Difference	
			1999-2000	2000-2001	Amount	Percent
Personal Income Tax 1/	\$21,533,218	\$27,764,000	\$15,878,801	\$19,663,807	\$3,785,006	23.8
User Taxes 2/	\$9,770,055	\$9,820,600	\$6,286,695	\$6,852,015	\$565,311	9.0
Sales and Use 3/	8,214,883	7,961,900	5,246,955	5,538,442	291,487	5.6
Motor Fuel	518,773	527,200	351,991	347,466	(4,525)	(1.3)
Cigarette/Tobacco Products 4/	671,653	961,000	447,011	717,560	270,549	60.5
Alcoholic Beverage	177,044	176,000	118,499	122,583	4,084	3.4
Highway/Fuel Use 1/	150,226	152,500	100,891	106,116	5,225	5.2
Containers (repealed 10/1/98)	6	---	6	7	1	16.7
Auto Rental	37,465	42,000	21,342	19,832	(1,510)	(7.1)
Business Taxes	\$5,544,609	\$5,198,100	\$2,934,171	\$2,815,660	(\$118,511)	(4.0)
Corporation Franchise	1,938,616	2,150,000	916,305	1,262,875	346,570	37.8
Corporation & Utilities 1/	1,485,692	910,000	720,220	394,102	(326,118)	(45.3)
Banks	525,509	540,000	316,099	272,886	(43,213)	(13.7)
Insurance (Article 33)	586,941	601,000	282,001	240,971	(41,030)	(14.5)
Direct Writings	2,920	---	2,226	2,113	(113)	(5.1)
Petroleum 1/, 5/	1,004,931	997,100	697,320	642,713	(54,607)	(7.8)
Other Revenues	\$1,447,629	\$1,099,000	\$918,881	\$793,692	(\$125,189)	(13.6)
Real Property Gains (repealed 6/15/96)	14,824	4,000	14,209	2,617	(11,592)	(81.6)
Estate & Gift 1/	1,054,669	728,000	647,043	509,728	(137,315)	(21.2)
Real Estate Transfer	340,230	333,000	229,713	260,745	31,032	13.5
Pari-Mutuel	36,369	33,000	26,713	20,046	(6,667)	(25.0)
Other (Racing Adms & Boxing)	1,537	1,000	1,203	556	(647)	(53.8)
Total - State Taxes 2/	\$38,295,511	\$43,881,700	\$26,018,548	\$30,125,174	\$4,106,617	15.8

1/ See page 2 for component detail.

(in thousands)

	Net Collections	Estimated Receipts 8/ 2000-2001	Net Collections			
			Year-to-Date		Difference	
			1999-2000	2000-2001	Amount	Percent
Personal Income Tax						
Withholding	\$18,460,535	\$19,386,000	\$10,591,440	\$12,010,548	\$1,419,108	13.4
Estimated Tax	5,875,275	6,258,000	3,678,890	4,145,010	466,120	12.7
Final Payments 6/	1,371,233	1,510,000	1,270,497	1,544,193	273,696	21.5
Delinquencies	511,835	510,000	344,044	377,902	33,858	9.8
Limited Liability Company Fees	16,680	---	12,202	15,575	3,373	27.6
Total Gross Collections	\$26,235,558	\$27,664,000	\$15,897,073	\$18,093,228	\$2,196,155	13.8
Refunds/Minor Offsets	2,716,539	2,970,000	2,105,641	2,302,257	196,616	9.3
State/City Offsets	324,738	330,000	218,542	94,138	(124,404)	(56.9)
Net, before Refund Reserve	\$23,194,281	\$24,364,000	\$13,572,890	\$15,696,833	\$2,123,943	15.6
Refund Reserve Transactions	(1,661,063)	3,400,000	2,305,911	3,966,974	1,661,063	72.0
Net, after Refund Reserve	\$21,533,218	\$27,764,000	\$15,878,801	\$19,663,807	\$3,785,006	23.8
Other Component Detail						
Highway/Fuel Use	\$150,226	\$152,500	\$100,891	\$106,116	\$5,225	5.2
Highway Use	126,548	NA	86,023	89,258	3,235	3.8
Truck Mileage	119,132	NA	82,725	86,495	3,770	4.6
Permits	7,416	NA	3,298	2,763	(535)	(16.2)
Fuel Use	23,678	NA	14,868	16,858	1,990	13.4
Article 21	892	NA	764	436	(328)	(42.9)
Article 21A IFTA	22,306	NA	13,924	16,219	2,295	16.5
IFTA Decal Fees & Trip Permits	480	NA	180	203	23	12.8
Corporation & Utilities 7/	\$1,485,692	\$910,000	\$720,220	\$394,102	(\$326,118)	(45.3)
Article 9 (excl sec. 180, 186-A, A&E & P)	359,323	NA	153,365	17,535	(135,830)	(88.6)
Utilities (section 186-A, A&E & P)	1,126,369	NA	566,855	376,567	(190,288)	(33.6)
Petroleum	\$1,004,931	\$997,100	\$697,320	\$642,713	(\$54,607)	(7.8)
Article 13-A (prior to 9/1/90)	149	NA	50	33	(17)	(34.0)
Article 13-A (after 9/1/90)	1,004,712	NA	697,202	642,674	(54,528)	(7.8)
Article 9, Section 182-A	59	NA	59	(2)	(61)	(103.4)
Lubricating Oils (repealed 9/1/94)	11	NA	9	8	(1)	(11.1)
Estate & Gift	\$1,054,669	\$728,000	\$647,043	\$509,728	(\$137,315)	(21.2)
Estate	975,172	NA	611,806	483,420	(128,386)	(21.0)
Gift	79,497	NA	35,237	26,308	(8,929)	(25.3)

(in thousands)

Local Taxes	Net Collections	Estimated Receipts 8/	Net Collections			
			Year-to-Date		Difference	
			1999-2000	2000-2001	1999-2000	2000-2001
M.T.A. Corporate Surcharge	\$586,807	---	\$252,068	\$303,133	\$51,065	20.3
New York City Alcoholic Beverage	21,309	---	14,598	14,774	176	1.2
New York City Motor Fuel	0	---	0	0	0	NA
New York City Personal Income	5,638,883	---	3,377,928	3,337,971	(39,957)	(1.2)
Sales & Use (Includes M.A.C.)	8,399,323	---	5,340,570	5,791,516	450,946	8.4
Stock Transfer (to NYC; all rebatable)	7,494,936	---	3,761,096	3,388,489	(372,607)	(9.9)
Yonkers Personal Income	21,612	---	15,997	9,322	(6,675)	(41.7)
Total-Local Taxes	\$22,162,870	---	\$12,762,257	\$12,845,205	\$82,948	0.6
Total State & Local Taxes	\$60,458,381	---	\$38,780,805	\$42,970,379	\$4,189,565	10.8

2/ Includes Hotel/Motel Occupancy (repealed 9/1/94):

1999-2000	2000-2001
\$4	\$9

3/ Includes tax on informational and entertainment services (900) numbers:

1999-2000	2000-2001
\$775	\$1,063

4/ Includes Cigarette License and Sticker Fees.

5/ Includes Article 13-A Petroleum Business Tax, Lubricating Oils Tax (repealed 9/1/94), former Article 13-A Gross Receipts Tax, and former Article 9, Section 182-A.

6/ Includes final payments from electronically filed returns for the current fiscal year, formerly included in Estimated Tax.

1999-2000	2000-2001
\$35,316	\$51,013

7/ Reflects adjustments to prior periods posted during November 2000.

8/ From the Budget Forecast, May 2000. Estimates adjusted to a collection basis. Article 13 estimate included in Corporation Franchise Tax; Cigarette License Fees and Stickers estimates included in Cigarette/Tobacco Products; Direct Writings estimate included in Insurance (Article 33); Hotel/Motel Occupancy included in User Taxes.