

January 2001

(in thousands)

State Taxes	January 2001			Fiscal Year 2000-01		
	Gross	Refunds	Net	Gross	Refunds	Net
Personal Income Tax-net (Adj)	\$5,115,129	\$15,772	\$5,099,357	\$29,820,009	\$2,608,424	\$27,211,585
Total Gross Collections	5,115,129	---	5,115,129	25,853,035	---	25,853,035
Less: Refunds and Minor Offsets	---	15,745	15,745	---	2,444,671	2,444,671
Less: State/City Offsets	---	27	27	---	163,753	163,753
Net Collections, before Refund Reserve	---	---	5,099,357	---	---	23,244,611
Refund Reserve Transactions	---	---	---	3,966,974	0	3,966,974
User Taxes 1/	\$857,209	\$9,515	\$847,694	\$8,752,278	\$68,130	\$8,684,148
Sales & Use 2/	705,221	7,215	698,006	7,093,864	46,538	7,047,326
Motor Fuel	37,950	1,498	36,452	440,611	12,480	428,131
Cigarette/Tobacco Products 3/	82,474	578	81,896	892,710	7,344	885,366
Alcoholic Beverage	17,981	0	17,981	158,374	41	158,333
Highway/Fuel Use	13,514	224	13,290	134,046	1,727	132,319
Containers	0	0	0	7	0	7
Auto Rental	69	0	69	32,657	0	32,657
Business Taxes	\$163,146	\$81,207	\$81,939	\$4,574,267	\$572,735	\$4,001,532
Corporation Franchise	72,632	52,566	20,066	2,160,674	400,407	1,760,267
Corporation & Utilities	2,125	7,086	(4,961)	656,782	17,726	639,056
Banks	7,032	7,723	(691)	510,796	88,444	422,352
Insurance (Article 33)	2,975	12,122	(9,147)	425,840	52,888	372,952
Direct Writings	195	1	194	2,414	5	2,409
Petroleum 4/	78,187	1,709	76,478	817,761	13,265	804,496
Other Revenues	\$106,212	\$4,661	\$101,551	\$1,083,835	\$64,524	\$1,019,311
Real Property Gains	2,886	0	2,886	6,923	1,000	5,923
Estate & Gift	56,614	4,659	51,955	712,372	59,364	653,008
Real Estate Transfer	44,493	2	44,491	335,688	353	335,335
Pari-Mutuel (w/o Uncashed Tickets)	2,172	0	2,172	28,204	3,807	24,397
Other (Racing Admissions & Boxing)	47	0	47	648	0	648
Total - State Taxes 1/	\$6,241,696	\$111,155	\$6,130,541	\$44,230,389	\$3,313,813	\$40,916,576

Local Taxes	January 2001			Fiscal Year 2000-01		
	Gross	Refunds	Net	Gross	Refunds	Net
M.T.A. Corporate Surcharge	\$3,778	\$8,771	(\$4,993)	\$480,647	\$49,633	\$431,014
New York City Alcoholic Beverage	2,035	0	2,035	18,790	28	18,762
New York City Motor Fuel	0	0	0	0	0	0
New York City Personal Income - Net (Adjusted)	935,964	1,945	934,019	5,505,769	633,973	4,871,796
Total Gross Collections	935,946	---	935,946	5,342,619	---	5,342,619
Less: Refunds and Minor Offsets	---	1,945	1,945	---	633,973	633,973
Plus: State/City Offsets	18	---	18	163,150	---	163,150
Sales & Use (Includes M.A.C.)	747,546	7,655	739,891	7,503,455	48,299	7,455,156
Stock Transfer (to NYC; all eligible for rebate)	63	0	63	5,241,417	0	5,241,417
Yonkers Personal Income - Net (Adjusted)	1,441	10	1,431	15,064	3,021	12,043
Total Gross Collections	1,432	---	1,432	14,461	---	14,461
Less: Refunds and Minor Offsets	---	10	10	---	3,021	3,021
Plus: State/City Offsets	9	---	9	603	---	603
Total-Local Taxes	\$1,690,827	\$18,381	\$1,672,446	\$18,765,142	\$734,954	\$18,030,188
Total State & Local Taxes 1/	\$7,932,523	\$129,536	\$7,802,987	\$62,995,531	\$4,048,767	\$58,946,764

0

1/ Includes Hotel/Motel Occupancy (repealed 9/1/94):

January 2001	Fiscal Year 2000-01
\$0	\$9

2/ Includes tax on informational and entertainment services (900 numbers):

January 2001	Fiscal Year 2000-01
\$28	\$1,552

3/ Includes Cigarette License and Sticker Fees.

4/ Includes Article 13-A Petroleum Business Tax, Lubricating Oils Tax (repealed 9/1/94), former Article 13-A Gross Receipts Tax, and former Article 9, Section 182-A.

January 2001

(in thousands)

State Taxes	Net Collections		Difference	
	January 2000	January 2001	Amount	Percent
Personal Income Tax 1/	\$3,527,875	\$5,099,357	\$1,571,482	44.5
User Taxes 2/	\$816,713	\$847,694	\$30,982	3.8
Sales and Use 3/	686,280	698,006	11,726	1.7
Motor Fuel	46,472	36,452	(10,020)	(21.6)
Cigarette/Tobacco Products 4/	52,868	81,896	29,028	54.9
Alcoholic Beverage	18,610	17,981	(629)	(3.4)
Highway/Fuel Use 1/	12,420	13,290	870	7.0
Containers (repealed 10/1/98)	0	0	0	NA
Auto Rental	62	69	7	11.3
Business Taxes	\$147,642	\$81,939	(\$65,703)	(44.5)
Corporation Franchise	62,632	20,066	(42,566)	(68.0)
Corporation & Utilities 1/	(8,544)	(4,961)	3,583	41.9
Banks	12,855	(691)	(13,546)	(105.4)
Insurance (Article 33)	1,657	(9,147)	(10,804)	(652.0)
Direct Writings	40	194	154	385.0
Petroleum 1/, 5/	79,002	76,478	(2,524)	(3.2)
Other Revenues	\$121,017	\$101,551	(\$19,466)	(16.1)
Real Property Gains (repealed 6/15/96)	(94)	2,886	2,980	3,170.2
Estate & Gift 1/	93,159	51,955	(41,204)	(44.2)
Real Estate Transfer	25,342	44,491	19,149	75.6
Pari-Mutuel	2,524	2,172	(352)	(13.9)
Other (Racing Adms & Boxing)	86	47	(39)	(45.3)
Total - State Taxes 2/	\$4,613,247	\$6,130,541	\$1,517,295	32.9

1/ See page 2 for component detail.

(in thousands)

Personal Income Tax	Net Collections		Difference	
	January 2000	January 2001	Amount	Percent
Withholding	\$2,185,174	\$3,039,443	\$854,269	39.1
Estimated Tax	1,349,707	2,003,569	653,862	48.4
Final Payments 6/	388	2,964	2,576	663.9
Delinquencies	48,917	68,929	20,012	40.9
Limited Liability Company Fees	225	224	(1)	(0.4)
Total Gross Collections	\$3,584,411	\$5,115,129	\$1,530,718	42.7
Refunds/Minor Offsets	2,573	15,745	13,172	511.9
State/City Offsets	53,963	27	(53,936)	(99.9)
Net, before Refund Reserve	\$3,527,875	\$5,099,357	\$1,571,482	44.5
Refund Reserve Transactions	0	0	0	NA
Net, after Refund Reserve	\$3,527,875	\$5,099,357	\$1,571,482	44.5
Other Component Detail				
Highway/Fuel Use	\$12,420	\$13,290	\$870	7.0
Highway Use	10,755	11,651	896	8.3
Truck Mileage	9,642	11,514	1,872	19.4
Permits	1,113	137	(976)	(87.7)
Fuel Use	1,665	1,639	(26)	(1.6)
Article 21	17	(8)	(25)	(147.1)
Article 21A IFTA	1,591	1,578	(13)	(0.8)
IFTA Decal Fees & Trip Permits	57	69	12	21.1
Corporation & Utilities 7/	(\$8,544)	(\$4,961)	\$3,583	41.9
Article 9 (excl sec. 180, 186-A, A&E & P)	663	3,024	2,361	356.1
Utilities (section 186-A, A&E & P)	(9,207)	(7,985)	1,222	13.3
Petroleum	\$79,002	\$76,478	(\$2,524)	(3.2)
Article 13-A (prior to 9/1/90)	7	7	0	0.0
Article 13-A (after 9/1/90)	78,994	76,471	(2,523)	(3.2)
Article 9, Section 182-A	0	0	0	NA
Lubricating Oils (repealed 9/1/94)	1	0	(1)	(100.0)
Estate & Gift	\$93,159	\$51,955	(\$41,204)	(44.2)
Estate	52,407	50,965	(1,442)	(2.8)
Gift	40,752	990	(39,762)	(97.6)

Local Taxes	Net Collections		Difference	
	January 2000	January 2001	Amount	Percent
M.T.A. Corporate Surcharge	\$17,370	(\$4,993)	(\$22,363)	(128.7)
New York City Alcoholic Beverage	2,064	2,035	(29)	(1.4)
New York City Motor Fuel	0	0	0	NA
New York City Personal Income	829,570	934,019	104,449	12.6
Sales & Use (Includes M.A.C.)	722,073	739,891	17,818	2.5
Stock Transfer (to NYC; all rebatable)	33	63	30	90.9
Yonkers Personal Income	1,551	1,431	(120)	(7.7)
Total-Local Taxes	\$1,572,661	\$1,672,446	\$99,785	6.3
Total State & Local Taxes	\$6,185,908	\$7,802,987	\$1,617,080	26.1

2/ Includes Hotel/Motel Occupancy (repealed 9/1/94):

January 2000	January 2001
\$1	\$0

3/ Includes tax on informational and entertainment services (900) numbers:

January 2000	January 2001
\$13	\$28

4/ Includes Cigarette License and Sticker Fees.

5/ Includes Article 13-A Petroleum Business Tax, Lubricating Oils Tax (repealed 9/1/94), former Article 13-A Gross Receipts Tax, and former Article 9, Section 182-A.

6/ Includes final payments from electronically filed returns for the current fiscal year, formerly included in Estimated Tax.

January 2000	January 2001
\$43	\$2,155

7/ Reflects adjustments to prior periods posted during January 2001.

January 2001

(in thousands)

State Taxes	Net Collections	Estimated Receipts 8/ 2000-2001	Net Collections			
			Year-to-Date		Difference	
			1999-2000	2000-2001	Amount	Percent
Personal Income Tax 1/	\$21,533,218	\$27,764,000	\$22,048,275	\$27,211,585	\$5,163,310	23.4
User Taxes 2/	\$9,770,055	\$9,820,600	\$8,162,539	\$8,684,148	\$521,605	6.4
Sales and Use 3/	8,214,883	7,961,900	6,849,608	7,047,326	197,718	2.9
Motor Fuel	518,773	527,200	440,847	428,131	(12,716)	(2.9)
Cigarette/Tobacco Products 4/	671,653	961,000	560,561	885,366	324,805	57.9
Alcoholic Beverage	177,044	176,000	154,987	158,333	3,346	2.2
Highway/Fuel Use 1/	150,226	152,500	125,472	132,319	6,847	5.5
Containers (repealed 10/1/98)	6	---	6	7	1	16.7
Auto Rental	37,465	42,000	31,053	32,657	1,604	5.2
Business Taxes	\$5,544,609	\$5,198,100	\$4,154,117	\$4,001,532	(\$152,585)	(3.7)
Corporation Franchise	1,938,616	2,150,000	1,352,185	1,760,267	408,082	30.2
Corporation & Utilities 1/	1,485,692	910,000	1,083,878	639,056	(444,822)	(41.0)
Banks	525,509	540,000	433,426	422,352	(11,074)	(2.6)
Insurance (Article 33)	586,941	601,000	419,190	372,952	(46,238)	(11.0)
Direct Writings	2,920	---	2,494	2,409	(85)	(3.4)
Petroleum 1/, 5/	1,004,931	997,100	862,944	804,496	(58,448)	(6.8)
Other Revenues	\$1,447,629	\$1,099,000	\$1,183,610	\$1,019,311	(\$164,299)	(13.9)
Real Property Gains (repealed 6/15/96)	14,824	4,000	14,795	5,923	(8,872)	(60.0)
Estate & Gift 1/	1,054,669	728,000	857,097	653,008	(204,089)	(23.8)
Real Estate Transfer	340,230	333,000	278,984	335,335	56,351	20.2
Pari-Mutuel	36,369	33,000	31,304	24,397	(6,907)	(22.1)
Other (Racing Adms & Boxing)	1,537	1,000	1,430	648	(782)	(54.7)
Total - State Taxes 2/	\$38,295,511	\$43,881,700	\$35,548,541	\$40,916,576	\$5,368,031	15.1

1/ See page 2 for component detail.

(in thousands)

	Net Collections	Estimated Receipts 8/ 2000-2001	Net Collections			
			Year-to-Date		Difference	
			1999-2000	2000-2001	Amount	Percent
Personal Income Tax						
Withholding	\$18,460,535	\$19,386,000	\$14,699,654	\$16,971,616	\$2,271,962	15.5
Estimated Tax	5,875,275	6,258,000	5,834,383	6,821,414	987,031	16.9
Final Payments 6/	1,371,233	1,510,000	1,276,410	1,553,238	276,828	21.7
Delinquencies	511,835	510,000	441,524	490,873	49,349	11.2
Limited Liability Company Fees	16,680	---	12,496	15,894	3,398	27.2
Total Gross Collections	\$26,235,558	\$27,664,000	\$22,264,467	\$25,853,035	\$3,588,568	16.1
Refunds/Minor Offsets	2,716,539	2,970,000	2,204,331	2,444,671	240,340	10.9
State/City Offsets	324,738	330,000	317,772	163,753	(154,019)	(48.5)
Net, before Refund Reserve	\$23,194,281	\$24,364,000	\$19,742,364	\$23,244,611	\$3,502,247	17.7
Refund Reserve Transactions	(1,661,063)	3,400,000	2,305,911	3,966,974	1,661,063	72.0
Net, after Refund Reserve	\$21,533,218	\$27,764,000	\$22,048,275	\$27,211,585	\$5,163,310	23.4
Other Component Detail						
Highway/Fuel Use	\$150,226	\$152,500	\$125,472	\$132,319	\$6,847	5.5
Highway Use	126,548	NA	105,913	109,097	3,184	3.0
Truck Mileage	119,132	NA	100,204	106,164	5,960	5.9
Permits	7,416	NA	5,709	2,933	(2,776)	(48.6)
Fuel Use	23,678	NA	19,559	23,222	3,663	18.7
Article 21	892	NA	805	497	(308)	(38.3)
Article 21A IFTA	22,306	NA	18,323	22,286	3,963	21.6
IFTA Decal Fees & Trip Permits	480	NA	431	439	8	1.9
Corporation & Utilities 7/	\$1,485,692	\$910,000	\$1,083,878	\$639,056	(\$444,822)	(41.0)
Article 9 (excl sec. 180, 186-A, A&E & P)	359,323	NA	249,324	60,361	(188,963)	(75.8)
Utilities (section 186-A, A&E & P)	1,126,369	NA	834,554	578,695	(255,859)	(30.7)
Petroleum	\$1,004,931	\$997,100	\$862,944	\$804,496	(\$58,448)	(6.8)
Article 13-A (prior to 9/1/90)	149	NA	136	47	(89)	(65.4)
Article 13-A (after 9/1/90)	1,004,712	NA	862,739	804,442	(58,297)	(6.8)
Article 9, Section 182-A	59	NA	59	(1)	(60)	(101.7)
Lubricating Oils (repealed 9/1/94)	11	NA	10	8	(2)	(20.0)
Estate & Gift	\$1,054,669	\$728,000	\$857,097	\$653,008	(\$204,089)	(23.8)
Estate	975,172	NA	779,117	625,847	(153,270)	(19.7)
Gift	79,497	NA	77,980	27,161	(50,819)	(65.2)

(in thousands)

Local Taxes	Net	Estimated	Net Collections			
	Collections	Receipts 8/	Year-to-Date		Difference	
	1999-2000	2000-2001	1999-2000	2000-2001	Amount	Percent
M.T.A. Corporate Surcharge	\$586,807	---	\$418,739	\$431,014	\$12,275	2.9
New York City Alcoholic Beverage	21,309	---	18,697	18,762	65	0.3
New York City Motor Fuel	0	---	0	0	0	NA
New York City Personal Income	5,638,883	---	4,825,443	4,871,796	46,353	1.0
Sales & Use (Includes M.A.C.)	8,399,323	---	6,998,625	7,455,156	456,531	6.5
Stock Transfer (to NYC; all rebatable)	7,494,936	---	5,437,625	5,241,417	(196,208)	(3.6)
Yonkers Personal Income	21,612	---	19,191	12,043	(7,148)	(37.2)
Total-Local Taxes	\$22,162,870	---	\$17,718,320	\$18,030,188	\$311,868	1.8
Total State & Local Taxes	\$60,458,381	---	\$53,266,861	\$58,946,764	\$5,679,899	10.7

2/ Includes Hotel/Motel Occupancy (repealed 9/1/94):

1999-2000	2000-2001
\$5	\$9

3/ Includes tax on informational and entertainment services (900) numbers:

1999-2000	2000-2001
(\$368)	\$1,552

4/ Includes Cigarette License and Sticker Fees.

5/ Includes Article 13-A Petroleum Business Tax, Lubricating Oils Tax (repealed 9/1/94), former Article 13-A Gross Receipts Tax, and former Article 9, Section 182-A.

6/ Includes final payments from electronically filed returns for the current fiscal year, formerly included in Estimated Tax.

1999-2000	2000-2001
\$35,401	\$53,178

7/ Reflects adjustments to prior periods posted during January 2001.

8/ From the Budget Forecast, May 2000. Estimates adjusted to a collection basis. Article 13 estimate included in Corporation Franchise Tax; Cigarette License Fees and Stickers estimates included in Cigarette/Tobacco Products; Direct Writings estimate included in Insurance (Article 33); Hotel/Motel Occupancy included in User Taxes.