

December 2000

(in thousands)

State Taxes	December 2000			Fiscal Year 2000-01		
	Gross	Refunds	Net	Gross	Refunds	Net
Personal Income Tax-net (Adj)	\$2,644,678	\$196,257	\$2,448,421	\$24,704,880	\$2,592,652	\$22,112,228
Total Gross Collections	2,644,678	---	2,644,678	20,737,906	---	20,737,906
Less: Refunds and Minor Offsets	---	126,669	126,669	---	2,428,926	2,428,926
Less: State/City Offsets	---	69,588	69,588	---	163,726	163,726
Net Collections, before Refund Reserve	---	---	2,448,421	---	---	18,145,254
Refund Reserve Transactions	---	---	---	3,966,974	0	3,966,974
User Taxes 1/	\$990,726	\$6,287	\$984,439	\$7,895,069	\$58,615	\$7,836,454
Sales & Use 2/	815,736	4,858	810,878	6,388,643	39,323	6,349,320
Motor Fuel	44,777	564	44,213	402,661	10,982	391,679
Cigarette/Tobacco Products 3/	86,576	666	85,910	810,236	6,766	803,470
Alcoholic Beverage	17,770	1	17,769	140,393	41	140,352
Highway/Fuel Use	13,111	198	12,913	120,532	1,503	119,029
Containers	0	0	0	7	0	7
Auto Rental	12,756	0	12,756	32,588	0	32,588
Business Taxes	\$1,151,209	\$47,276	\$1,103,933	\$4,411,121	\$491,528	\$3,919,593
Corporation Franchise	506,406	29,080	477,326	2,088,042	347,841	1,740,201
Corporation & Utilities	251,733	1,818	249,915	654,657	10,640	644,017
Banks	163,463	13,306	150,157	503,764	80,721	423,043
Insurance (Article 33)	143,600	2,472	141,128	422,865	40,766	382,099
Direct Writings	102	0	102	2,219	4	2,215
Petroleum 4/	85,905	600	85,305	739,574	11,556	728,018
Other Revenues	\$128,499	\$4,431	\$124,068	\$977,624	\$59,864	\$917,760
Real Property Gains	421	1	420	4,038	1,001	3,037
Estate & Gift	95,587	4,262	91,325	655,758	54,705	601,053
Real Estate Transfer	30,267	168	30,099	291,195	351	290,844
Pari-Mutuel (w/o Uncashed Tickets)	2,179	0	2,179	26,032	3,807	22,225
Other (Racing Admissions & Boxing)	45	0	45	601	0	601
Total - State Taxes 1/	\$4,915,112	\$254,251	\$4,660,861	\$37,988,694	\$3,202,659	\$34,786,035

(in thousands)

Local Taxes	December 2000			Fiscal Year 2000-01		
	Gross	Refunds	Net	Gross	Refunds	Net
M.T.A. Corporate Surcharge	\$135,885	\$3,011	\$132,874	\$476,869	\$40,862	\$436,007
New York City Alcoholic Beverage	1,953	0	1,953	16,755	28	16,727
New York City Motor Fuel	0	0	0	0	0	0
New York City Personal Income - Net (Adjusted)	623,483	23,677	599,806	4,569,805	632,028	3,937,777
Total Gross Collections	553,861	---	553,861	4,406,673	---	4,406,673
Less: Refunds and Minor Offsets	---	23,677	23,677	---	632,028	632,028
Plus: State/City Offsets	69,622	---	69,622	163,132	---	163,132
Sales & Use (Includes M.A.C.)	928,756	5,007	923,749	6,755,909	40,644	6,715,265
Stock Transfer (to NYC; all eligible for rebate)	1,852,865	0	1,852,865	5,241,354	0	5,241,354
Yonkers Personal Income - Net (Adjusted)	1,470	180	1,290	13,623	3,011	10,612
Total Gross Collections	1,504	---	1,504	13,029	---	13,029
Less: Refunds and Minor Offsets	---	180	180	---	3,011	3,011
Plus: State/City Offsets	(34)	---	(34)	594	---	594
Total-Local Taxes	\$3,544,412	\$31,875	\$3,512,537	\$17,074,315	\$716,573	\$16,357,742
Total State & Local Taxes 1/	\$8,459,524	\$286,126	\$8,173,398	\$55,063,009	\$3,919,232	\$51,143,777

0

1/ Includes Hotel/Motel Occupancy (repealed 9/1/94):

December 2000	Fiscal Year 2000-01
\$0	\$9

2/ Includes tax on informational and entertainment services (900 numbers):

December 2000	Fiscal Year 2000-01
\$461	\$1,524

3/ Includes Cigarette License and Sticker Fees.

4/ Includes Article 13-A Petroleum Business Tax, Lubricating Oils Tax (repealed 9/1/94), former Article 13-A Gross Receipts Tax, and former Article 9, Section 182-A.

December 2000

(in thousands)

State Taxes	Net Collections		Difference	
	December 1999	December 2000	Amount	Percent
Personal Income Tax 1/	\$2,641,599	\$2,448,421	(\$193,178)	(7.3)
User Taxes 2/	\$1,059,127	\$984,439	(\$74,688)	(7.1)
Sales and Use 3/	916,373	810,878	(105,495)	(11.5)
Motor Fuel	42,384	44,213	1,829	4.3
Cigarette/Tobacco Products 4/	60,682	85,910	25,228	41.6
Alcoholic Beverage	17,878	17,769	(109)	(0.6)
Highway/Fuel Use 1/	12,161	12,913	752	6.2
Containers (repealed 10/1/98)	0	0	0	NA
Auto Rental	9,649	12,756	3,107	32.2
Business Taxes	\$1,072,304	\$1,103,933	\$31,629	2.9
Corporation Franchise	373,248	477,326	104,078	27.9
Corporation & Utilities 1/	372,202	249,915	(122,287)	(32.9)
Banks	104,472	150,157	45,685	43.7
Insurance (Article 33)	135,532	141,128	5,596	4.1
Direct Writings	228	102	(126)	(55.3)
Petroleum 1/, 5/	86,622	85,305	(1,317)	(1.5)
Other Revenues	\$143,712	\$124,068	(\$19,644)	(13.7)
Real Property Gains (repealed 6/15/96)	680	420	(260)	(38.2)
Estate & Gift 1/	116,895	91,325	(25,570)	(21.9)
Real Estate Transfer	23,929	30,099	6,170	25.8
Pari-Mutuel	2,067	2,179	112	5.4
Other (Racing Adms & Boxing)	141	45	(96)	(68.1)
Total - State Taxes 2/	\$4,916,742	\$4,660,861	(\$255,881)	(5.2)

1/ See page 2 for component detail.

Personal Income Tax	Net Collections		Difference	
	December 1999	December 2000	Amount	Percent
Withholding	\$1,923,040	\$1,921,625	(\$1,415)	(0.1)
Estimated Tax	805,786	672,835	(132,951)	(16.5)
Final Payments 6/	5,525	6,081	556	10.1
Delinquencies	48,563	44,042	(4,521)	(9.3)
Limited Liability Company Fees	69	95	26	37.7
Total Gross Collections	\$2,782,983	\$2,644,678	(\$138,305)	(5.0)
Refunds/Minor Offsets	96,117	126,669	30,552	31.8
State/City Offsets	45,267	69,588	24,321	53.7
Net, before Refund Reserve	\$2,641,599	\$2,448,421	(\$193,178)	(7.3)
Refund Reserve Transactions	0	0	0	NA
Net, after Refund Reserve	\$2,641,599	\$2,448,421	(\$193,178)	(7.3)
Other Component Detail				
Highway/Fuel Use	\$12,161	\$12,913	\$752	6.2
Highway Use	9,135	8,188	(947)	(10.4)
Truck Mileage	7,837	8,155	318	4.1
Permits	1,298	33	(1,265)	(97.5)
Fuel Use	3,026	4,725	1,699	56.1
Article 21	24	69	45	187.5
Article 21A IFTA	2,808	4,489	1,681	59.9
IFTA Decal Fees & Trip Permits	194	167	(27)	(13.9)
Corporation & Utilities 7/	\$372,202	\$249,915	(\$122,287)	(32.9)
Article 9 (excl sec. 180, 186-A, A&E & P)	95,296	39,802	(55,494)	(58.2)
Utilities (section 186-A, A&E & P)	276,906	210,113	(66,793)	(24.1)
Petroleum	\$86,622	\$85,305	(\$1,317)	(1.5)
Article 13-A (prior to 9/1/90)	79	7	(72)	(91.1)
Article 13-A (after 9/1/90)	86,543	85,297	(1,246)	(1.4)
Article 9, Section 182-A	0	1	1	NA
Lubricating Oils (repealed 9/1/94)	0	0	0	NA
Estate & Gift	\$116,895	\$91,325	(\$25,570)	(21.9)
Estate	114,904	91,462	(23,442)	(20.4)
Gift	1,991	(137)	(2,128)	(106.9)

Local Taxes	Net Collections		Difference	
	December 1999	December 2000	Amount	Percent
M.T.A. Corporate Surcharge	\$149,301	\$132,874	(\$16,427)	(11.0)
New York City Alcoholic Beverage	2,035	1,953	(82)	(4.0)
New York City Motor Fuel	0	0	0	NA
New York City Personal Income	617,945	599,806	(18,139)	(2.9)
Sales & Use (Includes M.A.C.)	935,982	923,749	(12,233)	(1.3)
Stock Transfer (to NYC; all rebatable)	1,676,496	1,852,865	176,369	10.5
Yonkers Personal Income	1,643	1,290	(353)	(21.5)
Total-Local Taxes	\$3,383,402	\$3,512,537	\$129,135	3.8
Total State & Local Taxes	\$8,300,144	\$8,173,398	(\$126,746)	(1.5)

2/ Includes Hotel/Motel Occupancy (repealed 9/1/94):

December 1999	December 2000
\$0	\$0

3/ Includes tax on informational and entertainment services (900) numbers:

December 1999	December 2000
(\$1,156)	\$461

4/ Includes Cigarette License and Sticker Fees.

5/ Includes Article 13-A Petroleum Business Tax, Lubricating Oils Tax (repealed 9/1/94), former Article 13-A Gross Receipts Tax, and former Article 9, Section 182-A.

6/ Includes final payments from electronically filed returns for the current fiscal year, formerly included in Estimated Tax.

December 1999	December 2000
\$42	\$10

7/ Reflects adjustments to prior periods posted during December 2000.

December 2000

(in thousands)

State Taxes	Net Collections	Estimated Receipts 8/	Net Collections			
			Year-to-Date		Difference	
			1999-2000	2000-2001	1999-2000	2000-2001
Personal Income Tax 1/	\$21,533,218	\$27,764,000	\$18,520,400	\$22,112,228	\$3,591,828	19.4
User Taxes 2/	\$9,770,055	\$9,820,600	\$7,345,826	\$7,836,454	\$490,623	6.7
Sales and Use 3/	8,214,883	7,961,900	6,163,328	6,349,320	185,992	3.0
Motor Fuel	518,773	527,200	394,375	391,679	(2,696)	(0.7)
Cigarette/Tobacco Products 4/	671,653	961,000	507,693	803,470	295,777	58.3
Alcoholic Beverage	177,044	176,000	136,377	140,352	3,975	2.9
Highway/Fuel Use 1/	150,226	152,500	113,052	119,029	5,977	5.3
Containers (repealed 10/1/98)	6	---	6	7	1	16.7
Auto Rental	37,465	42,000	30,991	32,588	1,597	5.2
Business Taxes	\$5,544,609	\$5,198,100	\$4,006,475	\$3,919,593	(\$86,882)	(2.2)
Corporation Franchise	1,938,616	2,150,000	1,289,553	1,740,201	450,648	34.9
Corporation & Utilities 1/	1,485,692	910,000	1,092,422	644,017	(448,405)	(41.0)
Banks	525,509	540,000	420,571	423,043	2,472	0.6
Insurance (Article 33)	586,941	601,000	417,533	382,099	(35,434)	(8.5)
Direct Writings	2,920	---	2,454	2,215	(239)	(9.7)
Petroleum 1/, 5/	1,004,931	997,100	783,942	728,018	(55,924)	(7.1)
Other Revenues	\$1,447,629	\$1,099,000	\$1,062,593	\$917,760	(\$144,833)	(13.6)
Real Property Gains (repealed 6/15/96)	14,824	4,000	14,889	3,037	(11,852)	(79.6)
Estate & Gift 1/	1,054,669	728,000	763,938	601,053	(162,885)	(21.3)
Real Estate Transfer	340,230	333,000	253,642	290,844	37,202	14.7
Pari-Mutuel	36,369	33,000	28,780	22,225	(6,555)	(22.8)
Other (Racing Adms & Boxing)	1,537	1,000	1,344	601	(743)	(55.3)
Total - State Taxes 2/	\$38,295,511	\$43,881,700	\$30,935,294	\$34,786,035	\$3,850,736	12.4

1/ See page 2 for component detail.

(in thousands)

	Net Collections	Estimated Receipts 8/ 2000-2001	Net Collections			
			Year-to-Date		Difference	
			1999-2000	2000-2001	Amount	Percent
Personal Income Tax						
Withholding	\$18,460,535	\$19,386,000	\$12,514,480	\$13,932,173	\$1,417,693	11.3
Estimated Tax	5,875,275	6,258,000	4,484,676	4,817,845	333,169	7.4
Final Payments 6/	1,371,233	1,510,000	1,276,022	1,550,274	274,252	21.5
Delinquencies	511,835	510,000	392,607	421,944	29,337	7.5
Limited Liability Company Fees	16,680	---	12,271	15,670	3,399	27.7
Total Gross Collections	\$26,235,558	\$27,664,000	\$18,680,056	\$20,737,906	\$2,057,850	11.0
Refunds/Minor Offsets	2,716,539	2,970,000	2,201,758	2,428,926	227,168	10.3
State/City Offsets	324,738	330,000	263,809	163,726	(100,083)	(37.9)
Net, before Refund Reserve	\$23,194,281	\$24,364,000	\$16,214,489	\$18,145,254	\$1,930,765	11.9
Refund Reserve Transactions	(1,661,063)	3,400,000	2,305,911	3,966,974	1,661,063	72.0
Net, after Refund Reserve	\$21,533,218	\$27,764,000	\$18,520,400	\$22,112,228	\$3,591,828	19.4
Other Component Detail						
Highway/Fuel Use	\$150,226	\$152,500	\$113,052	\$119,029	\$5,977	5.3
Highway Use	126,548	NA	95,158	97,446	2,288	2.4
Truck Mileage	119,132	NA	90,562	94,650	4,088	4.5
Permits	7,416	NA	4,596	2,796	(1,800)	(39.2)
Fuel Use	23,678	NA	17,894	21,583	3,689	20.6
Article 21	892	NA	788	505	(283)	(35.9)
Article 21A IFTA	22,306	NA	16,732	20,708	3,976	23.8
IFTA Decal Fees & Trip Permits	480	NA	374	370	(4)	(1.1)
Corporation & Utilities 7/	\$1,485,692	\$910,000	\$1,092,422	\$644,017	(\$448,405)	(41.0)
Article 9 (excl sec. 180, 186-A, A&E & P)	359,323	NA	248,661	57,337	(191,324)	(76.9)
Utilities (section 186-A, A&E & P)	1,126,369	NA	843,761	586,680	(257,081)	(30.5)
Petroleum	\$1,004,931	\$997,100	\$783,942	\$728,018	(\$55,924)	(7.1)
Article 13-A (prior to 9/1/90)	149	NA	129	40	(89)	(69.0)
Article 13-A (after 9/1/90)	1,004,712	NA	783,745	727,971	(55,774)	(7.1)
Article 9, Section 182-A	59	NA	59	(1)	(60)	(101.7)
Lubricating Oils (repealed 9/1/94)	11	NA	9	8	(1)	(11.1)
Estate & Gift	\$1,054,669	\$728,000	\$763,938	\$601,053	(\$162,885)	(21.3)
Estate	975,172	NA	726,710	574,882	(151,828)	(20.9)
Gift	79,497	NA	37,228	26,171	(11,057)	(29.7)

(in thousands)

Local Taxes	Net Collections	Estimated Receipts 8/	Net Collections			
			Year-to-Date		Difference	
			1999-2000	2000-2001	1999-2000	2000-2001
M.T.A. Corporate Surcharge	\$586,807	---	\$401,369	\$436,007	\$34,638	8.6
New York City Alcoholic Beverage	21,309	---	16,633	16,727	94	0.6
New York City Motor Fuel	0	---	0	0	0	NA
New York City Personal Income	5,638,883	---	3,995,873	3,937,777	(58,096)	(1.5)
Sales & Use (Includes M.A.C.)	8,399,323	---	6,276,552	6,715,265	438,713	7.0
Stock Transfer (to NYC; all rebatable)	7,494,936	---	5,437,592	5,241,354	(196,238)	(3.6)
Yonkers Personal Income	21,612	---	17,640	10,612	(7,028)	(39.8)
Total-Local Taxes	\$22,162,870	---	\$16,145,659	\$16,357,742	\$212,083	1.3
Total State & Local Taxes	\$60,458,381	---	\$47,080,953	\$51,143,777	\$4,062,819	8.6

2/ Includes Hotel/Motel Occupancy (repealed 9/1/94):

1999-2000	2000-2001
\$4	\$9

3/ Includes tax on informational and entertainment services (900) numbers:

1999-2000	2000-2001
(\$381)	\$1,524

4/ Includes Cigarette License and Sticker Fees.

5/ Includes Article 13-A Petroleum Business Tax, Lubricating Oils Tax (repealed 9/1/94), former Article 13-A Gross Receipts Tax, and former Article 9, Section 182-A.

6/ Includes final payments from electronically filed returns for the current fiscal year, formerly included in Estimated Tax.

1999-2000	2000-2001
\$35,358	\$51,023

7/ Reflects adjustments to prior periods posted during December 2000.

8/ From the Budget Forecast, May 2000. Estimates adjusted to a collection basis. Article 13 estimate included in Corporation Franchise Tax; Cigarette License Fees and Stickers estimates included in Cigarette/Tobacco Products; Direct Writings estimate included in Insurance (Article 33); Hotel/Motel Occupancy included in User Taxes.