

August 2000

(in thousands)

State Taxes	August 2000			Fiscal Year 2000-01		
	Gross	Refunds	Net	Gross	Refunds	Net
Personal Income Tax-net (Adj)	\$1,652,557	\$65,399	\$1,587,158	\$16,052,190	\$2,079,281	\$13,972,909
Total Gross Collections	1,652,557	---	1,652,557	12,085,216	---	12,085,216
Less: Refunds and Minor Offsets	---	65,364	65,364	---	1,992,303	1,992,303
Less: State/City Offsets	---	35	35	---	86,978	86,978
Net Collections, before Refund Reserve	---	---	1,587,158	---	---	10,005,935
Refund Reserve Transactions	---	---	---	3,966,974	0	3,966,974
User Taxes 1/	\$797,063	\$5,962	\$791,101	\$4,198,843	\$34,699	\$4,164,144
Sales & Use 2/	631,819	3,628	628,191	3,396,098	23,084	3,373,014
Motor Fuel	44,835	960	43,875	225,777	7,039	218,738
Cigarette/Tobacco Products 3/	93,626	1,212	92,414	428,662	3,573	425,089
Alcoholic Beverage	12,480	1	12,479	73,304	41	73,263
Highway/Fuel Use	14,189	161	14,028	68,542	962	67,580
Containers	0	0	0	6	0	6
Auto Rental	85	0	85	6,453	0	6,453
Business Taxes	\$200,428	\$52,600	\$147,828	\$1,795,884	\$256,546	\$1,539,338
Corporation Franchise	108,832	36,459	72,373	831,398	192,227	639,171
Corporation & Utilities	2,328	3,149	(821)	246,172	7,141	239,031
Banks	5,776	5,650	126	162,885	18,728	144,157
Insurance (Article 33)	3,313	6,548	(3,235)	148,557	29,929	118,628
Direct Writings	369	0	369	717	4	713
Petroleum 4/	79,810	794	79,016	406,155	8,517	397,638
Other Revenues	\$117,051	\$5,200	\$111,851	\$632,901	\$31,529	\$601,372
Real Property Gains	774	150	624	2,790	753	2,037
Estate & Gift	78,140	5,038	73,102	439,135	30,657	408,478
Real Estate Transfer	33,927	12	33,915	176,583	119	176,464
Pari-Mutuel (w/o Uncashed Tickets)	4,119	0	4,119	14,114	0	14,114
Other (Racing Admissions & Boxing)	91	0	91	279	0	279
Total - State Taxes 1/	\$2,767,099	\$129,161	\$2,637,938	\$22,679,818	\$2,402,055	\$20,277,763

Local Taxes	August 2000			Fiscal Year 2000-01		
	Gross	Refunds	Net	Gross	Refunds	Net
M.T.A. Corporate Surcharge	\$21,773	\$4,406	\$17,367	\$180,706	\$20,084	\$160,622
New York City Alcoholic Beverage	1,652	19	1,633	9,869	19	9,850
New York City Motor Fuel	0	0	0	0	0	0
New York City Personal Income - Net (Adjusted)	342,345	19,237	323,108	2,739,192	522,355	2,216,837
Total Gross Collections	342,345	---	342,345	2,653,001	---	2,653,001
Less: Refunds and Minor Offsets	---	19,237	19,237	---	522,355	522,355
Plus: State/City Offsets	0	---	0	86,191	---	86,191
Sales & Use (Includes M.A.C.)	644,751	3,724	641,027	3,539,763	23,917	3,515,846
Stock Transfer (to NYC; all eligible for rebate)	43	0	43	1,850,428	0	1,850,428
Yonkers Personal Income - Net (Adjusted)	1,092	99	993	8,833	2,493	6,340
Total Gross Collections	1,057	---	1,057	8,046	---	8,046
Less: Refunds and Minor Offsets	---	99	99	---	2,493	2,493
Plus: State/City Offsets	35	---	35	787	---	787
Total-Local Taxes	\$1,011,656	\$27,485	\$984,171	\$8,328,791	\$568,868	\$7,759,923
Total State & Local Taxes 1/	\$3,778,755	\$156,646	\$3,622,109	\$31,008,609	\$2,970,923	\$28,037,686

0

1/ Includes Hotel/Motel Occupancy (repealed 9/1/94):

August 2000	Fiscal Year 2000-01
\$29	\$1

2/ Includes tax on informational and entertainment services (900 numbers):

August 2000	Fiscal Year 2000-01
\$11	\$668

3/ Includes Cigarette License and Sticker Fees.

4/ Includes Article 13-A Petroleum Business Tax, Lubricating Oils Tax (repealed 9/1/94), former Article 13-A Gross Receipts Tax, and former Article 9, Section 182-A.

August 2000

(in thousands)

State Taxes	Net Collections		Difference	
	August 1999	August 2000	Amount	Percent
Personal Income Tax 1/	\$1,445,510	\$1,587,158	\$141,648	9.8
User Taxes 2/	\$707,660	\$791,101	\$83,412	11.8
Sales and Use 3/	579,511	628,191	48,680	8.4
Motor Fuel	47,103	43,875	(3,228)	(6.9)
Cigarette/Tobacco Products 4/	53,140	92,414	39,274	73.9
Alcoholic Beverage	13,331	12,479	(852)	(6.4)
Highway/Fuel Use 1/	14,554	14,028	(526)	(3.6)
Containers (repealed 10/1/98)	0	0	0	NA
Auto Rental	21	85	64	304.8
Business Taxes	\$151,685	\$147,828	(\$3,857)	(2.5)
Corporation Franchise	41,333	72,373	31,040	75.1
Corporation & Utilities 1/	8,738	(821)	(9,559)	(109.4)
Banks	3,227	126	(3,101)	(96.1)
Insurance (Article 33)	914	(3,235)	(4,149)	(453.9)
Direct Writings	244	369	125	51.2
Petroleum 1/, 5/	97,229	79,016	(18,213)	(18.7)
Other Revenues	\$172,547	\$111,851	(\$60,696)	(35.2)
Real Property Gains (repealed 6/15/96)	80	624	544	680.0
Estate & Gift 1/	136,450	73,102	(63,348)	(46.4)
Real Estate Transfer	30,912	33,915	3,003	9.7
Pari-Mutuel	4,979	4,119	(860)	(17.3)
Other (Racing Adms & Boxing)	126	91	(35)	(27.8)
Total - State Taxes 2/	\$2,477,402	\$2,637,938	\$160,507	6.5

1/ See page 2 for component detail.

(in thousands)

Personal Income Tax	Net Collections		Difference	
	August 1999	August 2000	Amount	Percent
Withholding	\$1,388,389	\$1,526,960	\$138,571	10.0
Estimated Tax	36,110	43,303	7,193	19.9
Final Payments 6/	32,250	35,652	3,402	10.5
Delinquencies	44,511	46,497	1,986	4.5
Limited Liability Company Fees	103	145	42	40.8
Total Gross Collections	\$1,501,363	\$1,652,557	\$151,194	10.1
Refunds/Minor Offsets	55,761	65,364	9,603	17.2
State/City Offsets	92	35	(57)	(62.0)
Net, before Refund Reserve	\$1,445,510	\$1,587,158	\$141,648	9.8
Refund Reserve Transactions	0	0	0	NA
Net, after Refund Reserve	\$1,445,510	\$1,587,158	\$141,648	9.8
Other Component Detail				
Highway/Fuel Use	\$14,554	\$14,028	(\$526)	(3.6)
Highway Use	13,308	13,142	(166)	(1.2)
Truck Mileage	13,034	12,763	(271)	(2.1)
Permits	274	379	105	38.3
Fuel Use	1,246	886	(360)	(28.9)
Article 21	51	34	(17)	(33.3)
Article 21A IFTA	1,188	847	(341)	(28.7)
IFTA Decal Fees & Trip Permits	7	5	(2)	(28.6)
Corporation & Utilities 7/	\$8,738	(\$821)	(\$9,559)	(109.4)
Article 9 (excl sec. 180, 186-A, A&E & P)	(2,011)	1,280	3,291	163.6
Utilities (section 186-A, A&E & P)	10,749	(2,101)	(12,850)	(119.5)
Petroleum	\$97,229	\$79,016	(\$18,213)	(18.7)
Article 13-A (prior to 9/1/90)	7	(1)	(8)	(114.3)
Article 13-A (after 9/1/90)	97,222	79,017	(18,205)	(18.7)
Article 9, Section 182-A	0	0	0	NA
Lubricating Oils (repealed 9/1/94)	0	0	0	NA
Estate & Gift	\$136,450	\$73,102	(\$63,348)	(46.4)
Estate	134,792	71,917	(62,875)	(46.6)
Gift	1,658	1,185	(473)	(28.5)

(in thousands)

Local Taxes	Net Collections		Difference	
	August 1999	August 2000	Amount	Percent
M.T.A. Corporate Surcharge	\$4,941	\$17,367	\$12,426	251.5
New York City Alcoholic Beverage	1,857	1,633	(224)	(12.1)
New York City Motor Fuel	0	0	0	NA
New York City Personal Income	298,309	323,108	24,799	8.3
Sales & Use (Includes M.A.C.)	595,461	641,027	45,566	7.7
Stock Transfer (to NYC; all rebatable)	33	43	10	30.3
Yonkers Personal Income	1,623	993	(630)	(38.8)
Total-Local Taxes	\$902,224	\$984,171	\$81,947	9.1
Total State & Local Taxes	\$3,379,626	\$3,622,109	\$242,454	7.2

2/ Includes Hotel/Motel Occupancy (repealed 9/1/94):

August 1999	August 2000
\$0	\$29

3/ Includes tax on informational and entertainment services (900) numbers:

August 1999	August 2000
\$0	\$11

4/ Includes Cigarette License and Sticker Fees.

5/ Includes Article 13-A Petroleum Business Tax, Lubricating Oils Tax (repealed 9/1/94), former Article 13-A Gross Receipts Tax, and former Article 9, Section 182-A.

6/ Includes final payments from electronically filed returns for the current fiscal year, formerly included in Estimated Tax.

August 1999	August 2000
\$126	\$243

7/ Reflects adjustments to prior periods posted during August 2000.

August 2000

(in thousands)

State Taxes	Net Collections	Estimated Receipts 8/ 2000-2001	Net Collections			
			Year-to-Date		Difference	
			1999-2000	2000-2001	Amount	Percent
Personal Income Tax 1/	\$21,533,218	\$27,764,000	\$11,077,213	\$13,972,909	\$2,895,696	26.1
User Taxes 2/	\$9,770,055	\$9,820,600	\$3,832,710	\$4,164,144	\$331,433	8.6
Sales and Use 3/	8,214,883	7,961,900	3,180,459	3,373,014	192,555	6.1
Motor Fuel	518,773	527,200	223,658	218,738	(4,920)	(2.2)
Cigarette/Tobacco Products 4/	671,653	961,000	283,078	425,089	142,011	50.2
Alcoholic Beverage	177,044	176,000	72,591	73,263	672	0.9
Highway/Fuel Use 1/	150,226	152,500	64,132	67,580	3,448	5.4
Containers (repealed 10/1/98)	6	---	7	6	(1)	(14.3)
Auto Rental	37,465	42,000	8,785	6,453	(2,332)	(26.5)
Business Taxes	\$5,544,609	\$5,198,100	\$1,597,309	\$1,539,338	(\$57,971)	(3.6)
Corporation Franchise	1,938,616	2,150,000	472,447	639,171	166,724	35.3
Corporation & Utilities 1/	1,485,692	910,000	323,601	239,031	(84,570)	(26.1)
Banks	525,509	540,000	227,888	144,157	(83,731)	(36.7)
Insurance (Article 33)	586,941	601,000	129,935	118,628	(11,307)	(8.7)
Direct Writings	2,920	---	1,038	713	(325)	(31.3)
Petroleum 1/, 5/	1,004,931	997,100	442,400	397,638	(44,762)	(10.1)
Other Revenues	\$1,447,629	\$1,099,000	\$588,630	\$601,372	\$12,742	2.2
Real Property Gains (repealed 6/15/96)	14,824	4,000	13,025	2,037	(10,988)	(84.4)
Estate & Gift 1/	1,054,669	728,000	425,967	408,478	(17,489)	(4.1)
Real Estate Transfer	340,230	333,000	132,632	176,464	43,832	33.0
Pari-Mutuel	36,369	33,000	16,689	14,114	(2,575)	(15.4)
Other (Racing Adms & Boxing)	1,537	1,000	317	279	(38)	(12.0)
Total - State Taxes 2/	\$38,295,511	\$43,881,700	\$17,095,862	\$20,277,763	\$3,181,900	18.6

1/ See page 2 for component detail.

(in thousands)

	Net Collections	Estimated Receipts 8/ 2000-2001	Net Collections			
			Year-to-Date		Difference	
			1999-2000	2000-2001	Amount	Percent
Personal Income Tax						
Withholding	\$18,460,535	\$19,386,000	\$6,676,524	\$7,456,502	\$779,978	11.7
Estimated Tax	5,875,275	6,258,000	2,648,574	2,946,020	297,446	11.2
Final Payments 6/	1,371,233	1,510,000	1,167,626	1,416,293	248,667	21.3
Delinquencies	511,835	510,000	237,571	251,432	13,861	5.8
Limited Liability Company Fees	16,680	---	11,713	14,969	3,256	27.8
Total Gross Collections	\$26,235,558	\$27,664,000	\$10,742,008	\$12,085,216	\$1,343,208	12.5
Refunds/Minor Offsets	2,716,539	2,970,000	1,828,026	1,992,303	164,277	9.0
State/City Offsets	324,738	330,000	142,680	86,978	(55,702)	(39.0)
Net, before Refund Reserve	\$23,194,281	\$24,364,000	\$8,771,302	\$10,005,935	\$1,234,633	14.1
Refund Reserve Transactions	(1,661,063)	3,400,000	2,305,911	3,966,974	1,661,063	72.0
Net, after Refund Reserve	\$21,533,218	\$27,764,000	\$11,077,213	\$13,972,909	\$2,895,696	26.1
Other Component Detail						
Highway/Fuel Use	\$150,226	\$152,500	\$64,132	\$67,580	\$3,448	5.4
Highway Use	126,548	NA	54,790	57,677	2,887	5.3
Truck Mileage	119,132	NA	52,983	55,511	2,528	4.8
Permits	7,416	NA	1,807	2,166	359	19.9
Fuel Use	23,678	NA	9,342	9,903	561	6.0
Article 21	892	NA	555	243	(312)	(56.2)
Article 21A IFTA	22,306	NA	8,732	9,610	878	10.1
IFTA Decal Fees & Trip Permits	480	NA	55	50	(5)	(9.1)
Corporation & Utilities 7/	\$1,485,692	\$910,000	\$323,601	\$239,031	(\$84,570)	(26.1)
Article 9 (excl sec. 180, 186-A, A&E & P)	359,323	NA	72,445	63,908	(8,537)	(11.8)
Utilities (section 186-A, A&E & P)	1,126,369	NA	251,156	175,123	(76,033)	(30.3)
Petroleum	\$1,004,931	\$997,100	\$442,400	\$397,638	(\$44,762)	(10.1)
Article 13-A (prior to 9/1/90)	149	NA	43	20	(23)	(53.5)
Article 13-A (after 9/1/90)	1,004,712	NA	442,333	397,616	(44,717)	(10.1)
Article 9, Section 182-A	59	NA	20	(1)	(21)	(105.0)
Lubricating Oils (repealed 9/1/94)	11	NA	4	3	(1)	(25.0)
Estate & Gift	\$1,054,669	\$728,000	\$425,967	\$408,478	(\$17,489)	(4.1)
Estate	975,172	NA	398,395	385,634	(12,761)	(3.2)
Gift	79,497	NA	27,572	22,844	(4,728)	(17.1)

(in thousands)

Local Taxes	Net	Estimated	Net Collections			
	Collections	Receipts 8/	Year-to-Date		Difference	
	1999-2000	2000-2001	1999-2000	2000-2001	Amount	Percent
M.T.A. Corporate Surcharge	\$586,807	---	\$121,219	\$160,622	\$39,403	32.5
New York City Alcoholic Beverage	21,309	---	9,129	9,850	721	7.9
New York City Motor Fuel	0	---	0	0	0	NA
New York City Personal Income	5,638,883	---	2,250,443	2,216,837	(33,606)	(1.5)
Sales & Use (Includes M.A.C.)	8,399,323	---	3,236,038	3,515,846	279,808	8.6
Stock Transfer (to NYC; all rebatable)	7,494,936	---	1,963,248	1,850,428	(112,820)	(5.7)
Yonkers Personal Income	21,612	---	10,924	6,340	(4,584)	(42.0)
Total-Local Taxes	\$22,162,870	---	\$7,591,001	\$7,759,923	\$168,922	2.2
Total State & Local Taxes	\$60,458,381	---	\$24,686,863	\$28,037,686	\$3,350,822	13.6

2/ Includes Hotel/Motel Occupancy (repealed 9/1/94):

1999-2000	2000-2001
\$2	\$1

3/ Includes tax on informational and entertainment services (900) numbers:

1999-2000	2000-2001
\$474	\$668

4/ Includes Cigarette License and Sticker Fees.

5/ Includes Article 13-A Petroleum Business Tax, Lubricating Oils Tax (repealed 9/1/94), former Article 13-A Gross Receipts Tax, and former Article 9, Section 182-A.

6/ Includes final payments from electronically filed returns for the current fiscal year, formerly included in Estimated Tax.

1999-2000	2000-2001
\$35,182	\$50,794

7/ Reflects adjustments to prior periods posted during August 2000.

8/ From the Budget Forecast, May 2000. Estimates adjusted to a collection basis. Article 13 estimate included in Corporation Franchise Tax; Cigarette License Fees and Stickers estimates included in Cigarette/Tobacco Products; Direct Writings estimate included in Insurance (Article 33); Hotel/Motel Occupancy included in User Taxes.