



CT-33-C

Department of Taxation and Finance

Captive Insurance Company
Franchise Tax Return

Tax Law – Article 33

All filers must enter tax period:

beginning

ending

Amended return

Employer identification number (EIN)	File number	Business telephone number ()	If you claim an overpayment, mark an X in the box
Legal name of corporation		Trade name/DBA	
Mailing name (if different from legal name above) c/o Number and street or PO box		State or country of incorporation	Date received (for Tax Department use only)
City		Date of incorporation	
State		ZIP code	
NAICS business code number (from NYS Pub 910)		Foreign corporations: date began business in NYS	
If address/phone above is new, mark an X in the box		Audit (for Tax Department use only)	
NYS principal business activity		If you need to update your address or phone information for corporation tax, or other tax types, you can do so online. See Business information in Form CT-1.	

Federal return was filed on (mark an X in one): 1120-L • 1120-PC • Consolidated • Other: •

A. Pay amount shown on line 19. Make payable to: **New York State Corporation Tax**

Attach your payment here. Detach all check stubs. (See instructions for details.)

Payment enclosed

A

Computation of tax (see instructions)

Tax on New York State gross direct premiums (see instr.)

1	First \$20,000,000 of gross direct premiums.....	•	×	.004	•	1	
2	\$20,000,001-\$40,000,000 of gross direct premiums	•	×	.003	•	2	
3	\$40,000,001-\$60,000,000 of gross direct premiums	•	×	.002	•	3	
4	Excess of \$60,000,000 of gross direct premiums	•	×	.00075	•	4	

Tax on New York State reinsurance premiums (see instr.)

5	First \$20,000,000 of reinsurance premiums	•	×	.00225	•	5	
6	\$20,000,001-\$40,000,000 of reinsurance premiums	•	×	.0015	•	6	
7	\$40,000,001-\$60,000,000 of reinsurance premiums	•	×	.0005	•	7	
8	Excess of \$60,000,000 of reinsurance premiums	•	×	.00025	•	8	

Computation of tax

9	Tax due based upon premiums (add lines 1 through 8)	•	9	
10	Minimum tax.....		10	5,000 00
11	Tax due (enter the greater of line 9 or 10)	•	11	

12a

12b

13

14	Total prepayments from line 27	•	14	
15a	Balance (see instructions)	•	15a	
15b	Additional amount (see instructions)	•	15b	
15c	Total before penalties and interest (see instructions)	•	15c	
16	Estimated tax penalty (see instructions; mark an X in the box if Form CT-222 is attached) •	•	16	
17	Interest on late payment (see instructions)	•	17	
18	Late filing and late payment penalties (see instructions)	•	18	
19	Balance due (add lines 15c through 18 and enter here; enter the payment amount on line A above)	•	19	
20a	Overpayment (if line 11 is less than line 14, subtract line 11 from line 14)	•	20a	
20b	Amount of overpayment previously credited to 2020 MFI (see instructions)	•	20b	
20c	Balance of overpayment available (see instructions)	•	20c	
21	Amount of overpayment to be credited to next period	•	21	
22	Refund of overpayment (subtract line 21 from line 20c)	•	22	

431001190099



Composition of prepayments on line 14 (see instructions)

		Date paid	Amount
23 Mandatory first installment from Form CT-300 (see instructions)	23		
24a Second installment from Form CT-400	24a		
24b Third installment from Form CT-400	24b		
24c Fourth installment from Form CT-400	24c		
25 Payment with extension request (from Form CT-5, line 5)	25		
26 Overpayment credited from prior years (see instructions)	26		
27 Total prepayments (add lines 23 through 26; enter here and on line 14)	27		

Have you been audited by the Internal Revenue Service in the past 5 years? Yes ☐ No ☐
 (if Yes, list years) _____

Third – party designee (see instructions)	Yes <input type="checkbox"/> No <input type="checkbox"/>	Designee's name (print)	Designee's phone number ()
	Designee's e-mail address		PIN

Certification: I certify that this return and any attachments are to the best of my knowledge and belief true, correct, and complete.

Authorized person	Printed name of authorized person		Signature of authorized person		Official title	
	E-mail address of authorized person		Telephone number ()		Date	
Paid preparer use only (see instr.)	Firm's name (or yours if self-employed)			Firm's EIN		Preparer's PTIN or SSN
	Signature of individual preparing this return		Address		City	State ZIP code
	E-mail address of individual preparing this return			Preparer's NYTPRIN	or	Excl. code Date

Attach a copy of your complete federal return and a copy of your *New York Captive Insurance Company Annual Statement* as filed with the New York State Department of Financial Services.

See instructions for where to file.

431002180099

