



Department of Taxation and Finance

Summary of Federal Form 1099-R Statements

New York State • New York City • Yonkers

IT-1099-R

Do not detach or separate the 1099-R Records below. File Form IT-1099-R as an entire page. See instructions on the back.

Name(s) as shown on return

Identifying number as shown on return

1099-R Record 1

Corrected (1099-R) ☐

Recipient's identification
number for this 1099-R

Box A Payer's name and full address

Box B Payer's federal identification number

Box 1 Gross distribution

Box 2a Taxable amount

Box 2b Taxable amount not determined ☐

Total distribution..... ☐

Box C Account number

Box 3 Capital gain (included in box 2a)

Box 7 Distribution code(s)

Box 9a Percentage of distribution

Box 9b Employee contributions

Box 10 Amount allocable to IRR within 5 years

Box 11 1st year of desig. Roth contrib.

State

N Y

Box 14 State tax withheld (for NY State)

NY State

Box 16 State distribution

Locality a

Locality b

Box 17 Local tax withheld (NYC or Yonkers)

Box 18 Locality name

Locality a

Locality b

Box 19 Local distribution

Locality a

Locality b

1099-R Record 2

Corrected (1099-R) ☐

Do not detach.

Recipient's identification
number for this 1099-R

Box A Payer's name and full address

Box B Payer's federal identification number

Box 1 Gross distribution

Box 2a Taxable amount

Box 2b Taxable amount not determined ☐

Total distribution..... ☐

Box C Account number

Box 3 Capital gain (included in box 2a)

Box 7 Distribution code(s)

Box 9a Percentage of distribution

Box 9b Employee contributions

Box 10 Amount allocable to IRR within 5 years

Box 11 1st year of desig. Roth contrib.

State

N Y

Box 14 State tax withheld (for NY State)

NY State

Box 16 State distribution

Locality a

Locality b

Box 17 Local tax withheld (NYC or Yonkers)

Box 18 Locality name

Locality a

Locality b

Box 19 Local distribution

Locality a

Locality b

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1099-R Record 3 Corrected (1099-R) ☐

Recipient's identification number for this 1099-R

Box A Payer's name and full address

Box B Payer's federal identification number

Box 1 Gross distribution

.00

Box 2a Taxable amount

.00

Box 2b Taxable amount not determined ☐

Total distribution ☐

Box C Account number

Box 3 Capital gain (included in box 2a)

.00

Box 7 Distribution code(s)

Box 9a Percentage of distribution

.

Box 9b Employee contributions

.00

Box 10 Amount allocable to IRR within 5 years

.00

Box 11 1st year of desig. Roth contrib.

State **Box 14** State tax withheld (for NY State)

N Y

.00

NY State **Box 16** State distribution

.00

Box 17 Local tax withheld (NYC or Yonkers)

Locality a .00

Locality b .00

Box 18 Locality name

Locality a

Locality b

Box 19 Local distribution

Locality a .00

Locality b .00

Do not detach.

Instructions

General instructions

Who must file this form

You must complete Form IT-1099-R if you:

- are required to file a New York State income tax return, and
- received 1099-R statements that show New York State, New York City, or Yonkers withholding.

To learn who must file a New York State income tax return, visit www.tax.ny.gov (Search: *file*)

Specific instructions

In the top section of the form, enter your name and entire 9-digit Social Security number (SSN) or employer identification number (EIN) as entered on your income tax return.

If you are **married filing a joint return**, enter both names and the SSN you listed **first** on your income tax return.

Entering whole dollar amounts: When you enter amounts on this form, **enter whole dollar amounts only** (zeros have been preprinted), and use the following rounding rules: drop amounts below 50 cents, and increase amounts from 50 to 99 cents to the next dollar.

For example, \$1.39 becomes \$1 and \$2.50 becomes \$3.

How to complete each 1099-R Record

If you received any federal Forms 1099-R that show New York State, New York City, or Yonkers withholding, complete this form as follows for each:

Individuals: You must complete one *1099-R Record* section for each federal Form 1099-R you received.

Married couples: If you are married and filing a joint return, you must **also** complete one *1099-R Record* section for each federal Form 1099-R your spouse received.

Estates or trusts: Complete one 1099-R Record for each federal Form 1099-R the estate or trust received.

Each box in the *1099-R Record* section corresponds to a numbered box on federal Form 1099-R. Enter the amount, code, or description provided on federal Form 1099-R in the corresponding numbered boxes on Form IT-1099-R. Enter only the information requested on Form IT-1099-R. Complete additional Form(s) IT-1099-R if necessary.

Recipient's identification number for this Form 1099-R – Enter your SSN, your spouse's SSN, or the EIN of the estate or trust, as applicable.

Box A and Box B – Enter the payer's name and address and the payer's federal identification number as they appear on the corresponding federal Form 1099-R. If the corresponding box on federal Form 1099-R is marked, mark an **X** in the *Total distribution* box of the 1099-R record.

Corrected (1099-R) – If you received a federal corrected Form 1099-R, complete a *1099-R Record* and mark an **X** in the *Corrected (1099-R)* box.

Transfer the information: After you complete this form, transfer the tax withheld amounts to your income tax return as follows:

NY State tax withheld: Transfer the total amounts you entered in **Box 14** from all *1099-R records* to: Form IT-201, line 72; Form IT-203, line 62; or Form IT-205, line 34.

NYC tax withheld: Transfer the total amounts you entered for NYC in **Box 17** from all *1099-R records* to: Form IT-201, line 73; Form IT-203, line 63; or Form IT-205, line 35

Yonkers tax withheld: Transfer the total amounts you entered for Yonkers in **Box 17** from all *1099-R records* to: Form IT-201, line 74; Form IT-203, line 64; or Form IT-205, line 36.

File Form IT-1099-R as an entire page with your New York State income tax return: Form IT-201, IT-203, or IT-205.

Paper filers: Do not submit your federal 1099-R forms; keep them for your records. Do not detach or separate the 1099-R Records. Submit additional Forms IT-1099-R as needed to complete separate record for each federal Form 1099-R you received showing New York State, New York City, or Yonkers tax withheld.

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