Claim for Investment Tax Credit for the Financial Services Industry

All filers must enter tax period: beginning [ ] ending [ ]

Legal name of corporation

Employer identification number

File this form with Form CT-3, CT-3-A, CT-3-S, CT-33, CT-33-NL, or CT-33-A.

A  If you are claiming this credit as a corporate partner, mark an X in the box (see instructions)

Schedule A – Summary of tax credit(s)

1 Unused ITC or EIC from preceding period (New York S corporations, enter 0) .................................................. ● 1
2 Recapture of credit(s) (from line 6) .......................................................... ● 2
3 Net credit (see instructions) ........................................................................... ● 3

Schedule B – Recapture of ITC (see instructions) Attach additional sheets if necessary

<table>
<thead>
<tr>
<th>A</th>
<th>Description of property</th>
<th>B</th>
<th>Date acquired</th>
<th>C</th>
<th>Date property ceased to qualify</th>
<th>D</th>
<th>Life (months)</th>
<th>E</th>
<th>Unused life (months)</th>
<th>F</th>
<th>Percentage (column E ÷ column D)</th>
<th>G</th>
<th>Total original ITC allowed</th>
<th>H</th>
<th>Recaptured ITC</th>
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Amounts from attached list ..................................................................................................................

4 Recaptured ITC (add column H amounts) .................................................................................. ● 4
5 Additional recapture (see instructions) ................................................................................... ● 5
6 Recapture total (add lines 4 and 5; enter here and on line 2) ................................................... ● 6

Schedule C – Computation of credit used or carried forward (New York S corporations do not complete this section)

| 7 | Tax before credits (see instructions) ................................................................. | 7 |
| 8 | Tax credits claimed before this credit (see instructions) ......................... ● 8 |
| 9 | Subtract line 8 from line 7 ........................................................................... 9 |

10 Minimum tax (see instructions) .......................................................................................... 10
11 Limitation on credit used (subtract line 10 from line 9, if zero or less, enter 0) .... ● 11
12 Credit to be used this period (see instructions) ......................................................... ● 12
13 Unused credit (subtract line 12 from line 3) .............................................................. ● 13
14 Unused expired tax credits (see instructions) ............................................................. ● 14
15 Unused ITC and EIC available to be carried forward (subtract line 14 from line 13) ... ● 15