



# Summary of Federal Form 1099-R Statements **IT-1099-R**

New York State • New York City • Yonkers

**Do not detach or separate** the 1099-R Records below. File Form IT-1099-R as an entire page. See instructions on the back.

Taxpayer's name (individual taxpayer, or estate or trust)	
Spouse's first name and middle initial (if applicable)	Spouse's last name (if applicable)

▼ Taxpayer's ID number (SSN or EIN)
▼ Spouse's social security number

## 1099-R Record 1

Corrected (1099-R)

The recipient of this 1099-R is (mark an X in one box): Taxpayer  Spouse  Estate or trust

**Box a** Payer's name and full address

**Box b** Payer's federal identification number

**Box 1** Gross distribution

 . 

**Box 2a** Taxable amount

 . 

**Box 2b** Taxable amount not determined

Total distribution.....

**Box 3** Capital gain (included in box 2a)

 . 

**Box 7** Distribution code(s)

**Box 9a** Percentage of distribution

 . 

**Box 9b** Employee contributions

 . 

**Box 10** Amount allocable to IRR within 5 years

 . 

**Box 11** 1st year of desig. Roth contrib.

State

 

**Box 12** State tax withheld (for NY State)

 . 

NY State

**Box 14** State distribution

 . 

Locality a

**Box 15** Local tax withheld

Locality b

**Box 16** Locality name

Locality a

Locality b

**Box 17** Local distribution

Locality a

Locality b

 . 

**Do not detach.**

## 1099-R Record 2

Corrected (1099-R)

The recipient of this 1099-R is (mark an X in one box): Taxpayer  Spouse  Estate or trust

**Box a** Payer's name and full address

**Box b** Payer's federal identification number

**Box 1** Gross distribution

 . 

**Box 2a** Taxable amount

 . 

**Box 2b** Taxable amount not determined

Total distribution.....

**Box 3** Capital gain (included in box 2a)

 . 

**Box 7** Distribution code(s)

**Box 9a** Percentage of distribution

 . 

**Box 9b** Employee contributions

 . 

**Box 10** Amount allocable to IRR within 5 years

 . 

**Box 11** 1st year of desig. Roth contrib.

State

 

**Box 12** State tax withheld (for NY State)

 . 

NY State

**Box 14** State distribution

 . 

Locality a

**Box 15** Local tax withheld

Locality b

**Box 16** Locality name

Locality a

Locality b

**Box 17** Local distribution

Locality a

Locality b

 . 

[Taxpayer ID Number Field]

[Spouse's Social Security Number Field]

**1099-R Record 3** Corrected (1099-R)

The recipient of this 1099-R is (mark an X in one box): Taxpayer  Spouse  Estate or trust

Box a Payer's name and full address

Box b Payer's federal identification number

Box 1 Gross distribution

Box 2a Taxable amount

Box 2b Taxable amount not determined

Total distribution.....

Box 3 Capital gain (included in box 2a)

Box 7 Distribution code(s)

Box 9a Percentage of distribution

Box 9b Employee contributions

Box 10 Amount allocable to IRR within 5 years

Box 11 1st year of desig. Roth contrib.

State NY Box 12 State tax withheld (for NY State)

NY State Box 14 State distribution

Locality a Box 15 Local tax withheld

Locality b

Locality a Box 16 Locality name

Locality b

Locality a Box 17 Local distribution

Locality b

**1099-R Record 4** Corrected (1099-R)

The recipient of this 1099-R is (mark an X in one box): Taxpayer  Spouse  Estate or trust

Box a Payer's name and full address

Box b Payer's federal identification number

Box 1 Gross distribution

Box 2a Taxable amount

Box 2b Taxable amount not determined

Total distribution.....

Box 3 Capital gain (included in box 2a)

Box 7 Distribution code(s)

Box 9a Percentage of distribution

Box 9b Employee contributions

Box 10 Amount allocable to IRR within 5 years

Box 11 1st year of desig. Roth contrib.

State NY Box 12 State tax withheld (for NY State)

NY State Box 14 State distribution

Locality a Box 15 Local tax withheld

Locality b

Locality a Box 16 Locality name

Locality b

Locality a Box 17 Local distribution

Locality b

**General instructions**

Who must file this form — All filers of New York State income tax returns who received 1099-R statements that show New York State, New York City, or Yonkers withholding, must complete Form IT-1099-R.

How to complete Form IT-1099-R — Complete one 1099-R Record section for each federal Form 1099-R you (and if filing jointly, your spouse), or an estate or trust received that shows New York State, New York City, or Yonkers withholding. Enter only the information requested on Form IT-1099-R. Complete additional Form(s) IT-1099-R if necessary.

Each box on the 1099-R Record section corresponds to a numbered box on federal Form 1099-R. Enter the amount, code, or description provided on federal Form 1099-R in the corresponding numbered boxes on the Form IT-1099-R, 1099-R Record.

Do not detach or separate the 1099-R Records. File Form IT-1099-R as an entire page. Attach this form (IT-1099-R) to your New York State income tax return, Form IT-201, IT-203, or IT-205. Attach additional Forms IT-1099-R if applicable.

Do not attach your federal 1099-R forms; keep them for your records.

**Specific instructions**

Enter the taxpayer's name and identification number, and if married, the spouse's name and social security number.

For each 1099-R Record, mark an X in the applicable box to indicate if the 1099-R is for you, your spouse, or an estate or trust. In Box a and Box b, enter the payer's name and address and the payer's federal identification number as they appear on the corresponding federal Form 1099-R.

Mark an X in the Total distribution box of the 1099-R Record if the corresponding box on federal Form 1099-R is marked.

Corrected (1099-R) — If the 1099-R Record is for a federal corrected Form 1099-R, mark an X in the Corrected (1099-R) box.

Please file this original scannable form with the Tax Department.

