

Schedule C - Part I (continued) — Computation of Investment Capital and Investment Allocation Percentage

Section II - Corporate Stock, Stock Rights, Stock Warrants and Stock Options		B	C	D	E	F	G
A		Number of Shares	Average Value	Liabilities Directly or Indirectly Attributable to Investment Capital	Net Average Value (col. C — col. D)	Issuer's Allocation %	Value Allocated to New York State (col. E x col. F)
Description of Investment (identify each item; attach separate sheet if necessary)							
Amounts from attached list							
30	Total of Section II (above)						
31	Total of Section I from line 29						
32	Total (add lines 30 and 31 in columns C, D, E and G)	32	•	•	•		
33	Investment allocation percentage (divide line 32G by line 32E). Use to compute line 7 and Form CT-3, lines 20, 37 and 63					33	• %
34	Cash (optional)	34			•		
35	Investment capital (add lines 32 and 34 in columns C, D and E) Enter column E total on Form CT-3, line 35	35			•		

Schedule C, Part II - Computation of Investment Income for Allocation

36	Interest income from investment capital in Part I, Section I	36	•
37	Interest income from bank accounts	37	•
38	All other interest income from investment capital	38	•
39	Dividend income from investment capital	39	•
40	Net capital gain (or loss) from investment capital	40	•
41	Investment income other than interest, dividends, capital gains or capital losses	41	•
42	Total investment income (add lines 36 through 41)	42	•
43	Deductions directly and indirectly attributable to investment income or capital (see instructions)	43	•
44	Balance (subtract line 43 from line 42; enter here and on Form CT-3, line 58)	44	•
45	Apportioned New York net operating loss deduction	45	•
46	Investment income before allocation (subtract line 45 from line 44; also enter on line 4 and on Form CT-3, line 18)	46	•

Schedule D, Part I — Income Attributable to Subsidiary Capital

47	Interest from subsidiary capital (attach list)	47	•
48	Dividends from subsidiary capital (attach list)	48	•
49	Capital gains from subsidiary capital (see instructions; attach list)	49	•
50	Total (add lines 47 through 49; also enter on Form CT-3, line 10)	50	•

Schedule D, Part II — Computation and Allocation of Subsidiary Capital Base and Tax

Include all corporations except a DISC in which you own more than 50% of the voting stock.

A		B	C	D	E	F	G
Description of Subsidiary Capital		% of Voting Stock Owned	Average Value	Liabilities Directly or Indirectly Attributable to Subsidiary Capital	Net Average Value (col. C — col. D)	Issuer's Allocation %	Value Allocated to New York State (col. E x col. F)
• List the name of each corporation (attach separate sheet if necessary)	Employer Identification Number						
Amounts from attached list							
51	Totals (add amounts in columns C and D)	51	•	•			
52	Total net average value of subsidiary capital (add amounts in column E; enter on CT-3, line 33)				52	•	
53	Subsidiary capital base (add amounts in column G; enter in the box on Form CT-3, line 72)						53
54	Subsidiary capital base tax (multiply line 53 by .0009; enter on Form CT-3, line 72)						54