



Letter of Intent to Develop MeF Personal Income Tax E-file Software For Tax Year 2017

If you are a software developer who plans to market or distribute software for preparation and e-filing of New York State Income tax forms, you must complete this form before you can begin testing. If you have more than one product you need to complete and submit a separate Letter of Intent for each product; each product must have its own software ID.

We need this information to ensure that we:

- Have the most current vendor information on file; and
•Are able to determine the status of each vendor's progress throughout the ATS Testing process; and
•All issues in production are identified, communicated and corrected

Instructions:

1. Please complete all the relevant vendor information fields below. You may choose your own New York State Software ID. This may be up to ten Alpha/Numeric characters.
2. In the Supported Forms section check the boxes that correspond to the forms that your software will provide. Indicate any relevant information that pertains to the forms in the corresponding "Comments" section.
3. When completed, save a copy of this file and return via email to NYSPITMEF@tax.ny.gov

Notify us of any changes. If, after you submit this letter, you change the forms you have included in your software package, you must notify us within seven days. An updated Letter of Intent and additional testing may be required.

Type of Software Product DIY/Consumer (Web-Based) DIY/Consumer (Desktop) Professional/Paid Preparer (Web-Based) Professional/Paid Preparer (Desktop)

NYS Software ID

Product Name

EFIN

ETIN

NACTP Member Yes No Member ID# Paper Vendor ID # (If different from NACTP #)

# Contact Information

Legal Name

Firm Name

Address

City

State

ZIP code

Website

Primary Contact  
Name

E-mail Address

Phone Number

Ext

Secondary Contact  
Name

E-mail Address

Phone Number

Ext

Please indicate if you support the following (and if *no*, please explain why in the space provided):

Linked	Yes	
Unlinked	Yes	No
Foreign Address	Yes	No
PDF Attachments	Yes	No
NYS W-2 Verification Indicator	Yes	No

# Rebranded Software Products

**Use this section only if this product is rebranded with the approval of the Software Publisher, who is the original creator of the software and signer of the LOI. It is the position of the STAR Working Group under the auspices of the IRS Security Summit that:**

- *Rebranding where the software publisher makes all code changes to generate the rebranded software and ensures that the rebranded software meets the applicable requirements (Trusted Customer, Generation of Authentication Elements, Generate of LEADS reports, STAR Requirements, etc) does not pose any additional risk to the tax ecosystem.*
- *Rebranding where the organization who rebrands the software has the capability to make cosmetic changes including but not limited to color or font, but cannot make changes to the applicable requirements (listed above) also does not pose additional risk to the ecosystem.*

Rebranded Product Name	Contact Person	Phone	Email Address	Unique Identifier
Rebranded Product Name	Contact Person	Phone	Email Address	Unique Identifier
Rebranded Product Name	Contact Person	Phone	Email Address	Unique Identifier
Rebranded Product Name	Contact Person	Phone	Email Address	Unique Identifier
Rebranded Product Name	Contact Person	Phone	Email Address	Unique Identifier

\*If there are more than 5 software products that have been rebranded under a different name, list them on a separate sheet and attach it to your LOI submission.

**For Rebranded Products, the New York State Department of Taxation and Finance has the following requirements for e-file ATS approval:**

Rebranded Products are required to complete the full e-file ATS approval process

Rebranded Products are required to complete an abbreviated e-file ATS approval process

Rebranded Products are not required to complete e-file ATS approval

# Mandatory Forms - XML Only

Please indicate your support for the following forms. "XML" indicates support for the form in XML.

Form	XML	Form	XML	Form	XML	Form	XML
<b><u>MAIN FORMS</u></b>							
IT-201/IT-201-D		IT-203-A		IT-241		IT-639	
IT-201-X		IT-203-ATT		IT-245		IT-641	
IT-203/IT-203-D		IT-203-B Sch A		IT-249		IT-647	
IT-203-X		IT-203-B Sch B-C		IT-255		IT-2105.9	
IT-214		IT-203-C		IT-256		NYC-208	
IT-370		IT-209		IT-258		Y-203	
IT-2105		IT-212		IT-272		<b><u>WAGE STATEMENTS</u></b>	
NYC-208		IT-213		IT-280		W-2	
NYC-210		IT-214		IT-360.1		W-2G	
<b><u>ATTACHMENTS</u></b>							
		IT-215		IT-398		1099-DIV	
IT-112-R		IT-216		IT-399		1099-G	
IT-119		IT-217		IT-601		1099-INT	
IT-182		IT-219		IT-603		1099-MISC	
IT-195		IT-222		IT-604		1099-R	
IT-201-ATT		IT-225		IT-606			

Comments:

## Supported Forms - XML / PDF

Please indicate your support for the following forms. "XML" indicates support for the form in XML. "PDF" indicates support for the form as an attached PDF.

Form	XML	PDF	Form	XML	PDF	Form	XML	PDF
IT-112.1			IT-252			IT-637		
IT-112-C			IT-252-ATT			IT-638		
IT-135			IT-253			IT-640		
IT-203-F			IT-257			IT-642		
IT-211			IT-261			IT-643		
IT-212-ATT			IT-501			IT-644		
IT-221			IT-602			IT-645		
IT-223			IT-605			IT-646		
IT-230			IT-607			IT-6-SNY		
IT-236			IT-611			DTF-621		
IT-237			IT-611.1			DTF-622		
IT-238			IT-611.2			DTF-624		
IT-239			IT-612			DTF-626		
IT-242			IT-613			DTF-630		
IT-243			IT-631			DTF-686		
IT-246			IT-633					
IT-248			IT-634					
IT-250			IT-635					
IT-251			IT-636					

Comments:

# National Security Summit Standards and Requirements

National standards have been developed to protect taxpayers from identity theft tax refund fraud. You are agreeing to adhere to all IRS, State, and Industry Security Summit guidelines as a condition of providing tax software product(s) to taxpayers and tax professionals for individual income tax MeF.

Standards in this section apply to all Do It Yourself (DIY) Consumer and Professional, Paid Preparer Products, tax professional, and volunteer (VITA) products.

## Authentication Data Elements

The state e-standards Authentication Header Schema has been established to identify and authenticate a taxpayer and prevent identity theft tax refund fraud. Tax Software Providers are required to transmit all data elements included in the state authentication data elements when available. If you are not providing all data elements you must explain what you are not providing and why you are unable to provide them in the space provided below.

## State Driver License/ID Card Expectations

New York State will reject e-filed returns if the DL/ID Card information is not included with the tax return. This applies to all main form submissions and standalones (IT-201, IT-201-X, IT-203, IT-203-X, IT-214, NYC-208, and NYC-210). This applies to both the prime taxpayer and spouse taxpayer (when present). The user can also indicate that they do not possess a valid driver license or state-issued ID (DoNotHaveDrvrLcnsOrStlssdId).

New York State requires that the DL/ID card information be collected when available for extensions and estimated tax payments (IT-370 and IT-2105). The user can also indicate they did not provide a driver license or state-issued ID (DidNotProvideDLOrStlssuedId) or they do not possess a valid driver license or state-issued ID (DoNotHaveDrvrLcnsOrStlssdId).

New York State will reject e-filed returns if the DL/ID Card information (DrvrlcnsNum or StateIssdIdNum) is not properly formatted when issued by NYS. NYS-issued DL/ID cards contain only numerics and are 9 digits in length. This applies to all main form submissions, extensions, and standalones (IT-201, IT-201-X, IT-203, IT-203-X, IT-370, IT-214, NYC-208, and NYC-210).

New York State will reject e-filed returns that do not include the 3 character Document Number (DrvrlcnsAddInfo or StateIssdIdAddInfo) for the prime and/or spouse taxpayer when a NYS issued DL/ID card is present. This applies to all main form submissions, extensions, and standalones (IT-201, IT-201-X, IT-203, IT-203-X, IT-370, IT-214, NYC-208, and NYC-210). **Note:** the schema will be limited to only capturing the first 3 characters

## Signature

I acknowledge that all e-file ATS tests submitted during the approval process are created in and originate from the actual software.

I acknowledge that all electronic returns received by New York State generated from this software will be electronically filed from the initially approved product version, or a subsequent product update.

I acknowledge that New York State will be notified immediately of any incorrect and/or missing calculation or e-file data element for any electronically filed returns submitted to New York State.

I acknowledge New York State's driver license/ID card requirements.

As the representative of the above-named organization, I agree, on behalf of the organization, to comply with all requirements listed above. Furthermore, by signing this agreement, my organization is agreeing to all of the requirements listed above. The New York State Department of Taxation and Finance reserves the right to revoke approval acceptance of any company and thereby refuse to accept any additional returns from such software company that does not adhere to the above-stated requirements.

As an approved New York State provider, I agree to provide true, accurate, current, and complete information about my company. I understand that if I provide any information that is untrue, inaccurate, obsolete, or incomplete, the New York State Department of Taxation and Finance has the right to deny, suspend, or terminate my account.

(AUTHORIZED REPRESENTATIVE) PRINTED NAME
(AUTHORIZED REPRESENTATIVE) SIGNATURE